

# HUMAN MONOCLONAL ANTIBODIES TO EPIDERMAL GROWTH FACTOR RECEPTOR

## BACKGROUND OF THE INVENTION

### 1. Cross Reference to Related Applications

The present application is a continuation-in-part application of U.S. Patent Application No. 09/162,280, filed September 28, 1998, which is a continuation-in-part application of U.S. Patent Application Serial No. 08/851,362, filed May 5, 1997.

### 2. Summary of the Invention

In accordance with the present invention, there are provided fully human contiguous heavy and light chain sequences spanning the complementarity determining regions monoclonal antibodies against human epidermal growth factor receptor (EGF-r). Nucleotide sequences encoding and amino acid sequences comprising heavy and light chain immunoglobulin molecules, particularly sequences corresponding to (CDR's), specifically from CDR1 through CDR3, are provided. Hybridomas expressing such immunoglobulin molecules and monoclonal antibodies are also provided. Also provided in accordance with the invention are antibodies that possess one or more of the following functional characteristics: (i) inhibit tyrosine phosphorylation of EGF-r, (ii) do not inhibit EGF-r internalization, (ii) inhibit EGF-r degradation, (iii) inhibition of EGF induced EGF-r degradation, (iv) protect threonine phosphorylation of EGF-r, (v) protect threonine phosphorylation of other molecules, particularly a 62 KD molecule identified by immunoprecipitation, and (vi) inhibit vascular endothelial cell growth factor signal by tumor cells by greater than 50% and endothelial cells by greater than 40% relative to control.

### 3. Background of the Technology

Most applications of monoclonal antibodies (MAbs) in cancer therapy rely on the ability of the antibody to specifically deliver to the cancerous tissues cytotoxic effector functions such as immune-enhancing isotypes, toxins or drugs. An alternative approach is to utilize MAbs to directly affect the survival of tumor cells by depriving them of

essential extracellular proliferation signals, such as those mediated by growth factors through their cell receptors. One of the attractive targets in this approach is the epidermal growth factor receptor (EGFr), which binds EGF and transforming growth factor  $\alpha$  (TGF $\alpha$ ) (1-4). Binding of EGF or TGF $\alpha$  to EGFr, a 170 kDa transmembrane cell surface glycoprotein, triggers a cascade of cellular biochemical events, including EGFr autophosphorylation and internalization, which culminates in cell proliferation (1).

Several observations implicate EGFr in supporting development and progression of human solid tumors. Overexpression of EGFr has been shown to induce transformed properties in recipient cells (5). EGFr expression has been found to be up-regulated on many human tumors, including lung, colon, breast, prostate, brain, head and neck, ovarian and renal carcinoma, and the increase in receptor levels has been reported to be associated with a poor clinical prognosis (2, 3, 6-8). In many cases, the increased surface EGFr expression was accompanied by production of TGF $\alpha$  or EGF by the tumor cells, suggesting the involvement of an autocrine growth control in the progression of these tumors (2, 3, 6, 8). These observations suggested that blocking the interaction between the growth factors and EGFr could result in arrest of tumor growth and possibly affect tumor survival (2-4,6).

MAbs specific to the human EGFr, capable of neutralizing EGF and TGF $\alpha$  binding to tumor cells and of inhibiting ligand-mediated cell proliferation in vitro, have been generated from mice and rats (2,3,4,6). Some of these antibodies, such as the mouse 108 (9) 225 and 528 (2,3) or the rat ICR16, ICR62 and ICR64 (6,10, 11) MAbs, were evaluated extensively for their ability to affect tumor growth in xenograft mouse models. Most of the anti-EGFr MAbs were efficacious in preventing tumor formation in athymic mice when administered together with the human tumor cells (2,11). When injected into mice bearing established human tumor xenografts, the mouse MAbs 225 and 528 caused partial tumor regression and required the co-administration of chemotherapeutic agents, such as doxorubicin or cisplatin, for eradication of the tumors (2, 3,12, 13). A chimeric version of the 225 MAb (C225), in which the mouse antibody variable regions are linked to human constant regions, exhibited an improved *in vivo* anti-tumor activity but only at high doses (14, 15). The rat ICR16, ICR62, and ICR64 antibodies caused regression of established tumors but not their complete eradication (11). These results established

EGFr as a promising target for antibody therapy against EGFr-expressing solid tumors and led to human clinical trials with the C225 MAb in multiple human solid cancers (2,3,6). However, the limited efficacy of these MAbs as monotherapeutic agents required their assessment in combination with chemotherapy (16, 17). This requirement can limit the utilization of anti-EGFr antibodies in patients for whom chemotherapy is not available. Therefore, the identification of an anti-EGFr antibody capable of eradicating established human tumors by itself can expand the patient populations and cancer indications to which EGFr antibody therapy can be applied successfully. In addition, the MAbs currently pursued in human clinical trials, being murine chimeric antibodies (2,4), are likely to induce immunogenic or allergic responses to the mouse components in immunocompetent patients, leading to reduction in the antibody efficacy and safety. Therefore, anti-EGFr antibody therapy can be fully evaluated with the availability of a fully human anti-EGFr antibody that exhibits therapeutic efficacy on EGFr-expressing tumors and that can be administered repeatedly to all appropriate patient populations.

EGF-r has been demonstrated to be overexpressed on many types of human solid tumors. Mendelsohn *Cancer Cells* 7:359 (1989), Mendelsohn *Cancer Biology* 1:339-344 (1990), Modjtahedi and Dean *Int'l J. Oncology* 4:277-296 (1994). For example, EGF-r overexpression has been observed in certain lung, breast, colon, gastric, brain, bladder, head and neck, ovarian, and prostate carcinomas. Modjtahedi and Dean *Int'l J. Oncology* 4:277-296 (1994). Both epidermal growth factor (EGF) and transforming growth factor-alpha (TGF- $\alpha$ ) have been demonstrated to bind to EGF-r and to lead to cellular proliferation and tumor growth.

Thus, certain groups have proposed that antibodies against EGF, TGF- $\alpha$ , and EGF-r may be useful in the therapy of tumors expressing or overexpressing EGF-r. Mendelsohn *Cancer Cells* 7:359 (1989), Mendelsohn *Cancer Biology* 1:339-344 (1990), Modjtahedi and Dean *Int'l J. Oncology* 4:277-296 (1994), Tosi et al. *Int'l J. Cancer* 62:643-650 (1995). Indeed, it has been demonstrated that anti-EGF-r antibodies while blocking EGF and TGF- $\alpha$  binding to the receptor appear to inhibit tumor cell proliferation. At the same time, however, anti-EGF-r antibodies have not appeared to inhibit EGF and TGF- $\alpha$  independent cell growth. Modjtahedi and Dean *Int'l J. Oncology* 4:277-296 (1994).

In view of these findings, a number of murine and rat monoclonal antibodies against EGF-r have been developed and tested for their ability inhibit the growth of tumor cells *in vitro* and *in vivo*. Modjtahedi and Dean *Int'l J. Oncology* 4:277-296 (1994). The antibody that has apparently advanced the farthest in the clinic is a chimeric antibody, designated C225, which has a murine variable region and a human IgG1 constant region. Modjtahedi and Dean *Int'l J. Oncology* 4:277-296 (1994). The murine antibody, designated 225, upon which the C225 antibody is based, was developed by University of California and Rorer. See U.S. Patent No. 4,943,533 and European Patent No. 359,282, the disclosures of which are hereby incorporated by reference. The C225 antibody was demonstrated to inhibit EGF-mediated tumor cell growth *in vitro* and inhibit human tumor formation *in vivo* in nude mice. The antibody, moreover, appeared to act in synergy with certain chemotherapeutic agents to eradicate human tumors *in vivo* in xenograft mouse models. Modjtahedi and Dean *Int'l J. Oncology* 4:277-296 (1994).

ImClone has been conducting human clinical trials using the anti-EGF-r antibody designated C225. Phase I and Phase I/II clinical trials in patients with head and neck, prostate, and lung carcinomas apparently have been, or are currently being, conducted with C225. In Phase I clinical trials, no toxicity was detected with multiple injections and with doses of up to perhaps 400 mg/m<sup>2</sup>, even in cases involving immuno compromised patients. Such studies were conducted as dose escalation studies comprising 5 doses of from about 5 to about 200 mg/m<sup>2</sup> and were performed in combination with chemotherapy (i.e., doxorubicin, adriamycin, taxol, and cisplatin). In addition to the apparent safety data that has been generated in these studies, preliminary results from the studies appear to indicate some evidence of tumor shrinkage in 80% of patients having prostate cancer.

Each of these above-mentioned antibodies, however, possess murine or rat variable and/or constant regions. The presence of such murine or rat derived proteins can lead to the rapid clearance of the antibodies or can lead to the generation of an immune response against the antibody by a patient. In order to avoid the utilization of murine or rat derived antibodies, it has been postulated that one could introduce human antibody function into a rodent so that the rodent would produce fully human antibodies.

The ability to clone and reconstruct megabase-sized human loci in YACs and to introduce them into the mouse germline provides a powerful approach to elucidating the functional components of very large or crudely mapped loci as well as generating useful models of human disease. Furthermore, the utilization of such technology for substitution of mouse loci with their human equivalents could provide unique insights into the expression and regulation of human gene products during development, their communication with other systems, and their involvement in disease induction and progression.

An important practical application of such a strategy is the "humanization" of the mouse humoral immune system. Introduction of human immunoglobulin (Ig) loci into mice in which the endogenous Ig genes have been inactivated offers the opportunity to study the mechanisms underlying programmed expression and assembly of antibodies as well as their role in B-cell development. Furthermore, such a strategy could provide an ideal source for production of fully human monoclonal antibodies (Mabs) - an important milestone towards fulfilling the promise of antibody therapy in human disease. Fully human antibodies are expected to minimize the immunogenic and allergic responses intrinsic to mouse or mouse-derivatized Mabs and thus to increase the efficacy and safety of the administered antibodies. The use of fully human antibodies can be expected to provide a substantial advantage in the treatment of chronic and recurring human diseases, such as inflammation, autoimmunity, and cancer, which require repeated antibody administrations.

One approach towards this goal was to engineer mouse strains deficient in mouse antibody production with large fragments of the human Ig loci in anticipation that such mice would produce a large repertoire of human antibodies in the absence of mouse antibodies. Large human Ig fragments would preserve the large variable gene diversity as well as the proper regulation of antibody production and expression. By exploiting the mouse machinery for antibody diversification and selection and the lack of immunological tolerance to human proteins, the reproduced human antibody repertoire in these mouse strains should yield high affinity antibodies against any antigen of interest, including human antigens. Using the hybridoma technology, antigen-specific human Mabs with the desired specificity could be readily produced and selected.

5 This general strategy was demonstrated in connection with our generation of the first XenoMouse™ strains as published in 1994. *See* Green et al. *Nature Genetics* 7:13-21 (1994). The XenoMouse™ strains were engineered with yeast artificial chromosomes (YACs) containing 245 kb and 190 kb-sized germline configuration fragments of the human heavy chain locus and kappa light chain locus, respectively, which contained core variable and constant region sequences. *Id.* The human Ig containing YACs proved to be compatible with the mouse system for both rearrangement and expression of antibodies and were capable of substituting for the inactivated mouse Ig genes. This was demonstrated by their ability to induce B-cell development, to produce an adult-like human repertoire of fully human antibodies, and to generate antigen-specific human Mabs. These results also suggested that introduction of larger portions of the human Ig loci containing greater numbers of V genes, additional regulatory elements, and human Ig constant regions might recapitulate substantially the full repertoire that is characteristic of the human humoral response to infection and immunization. The work of Green et al. was recently extended to the introduction of greater than approximately 80% of the human antibody repertoire through introduction of megabase sized, germline configuration YAC fragments of the human heavy chain loci and kappa light chain loci, respectively. *See* Mendez et al. *Nature Genetics* 15:146-156 (1997) and U.S. Patent Application Serial No. 08/759,620, filed December 3, 1996, the disclosures of which are hereby incorporated by reference.

Such approach is further discussed and delineated in U.S. Patent Application Serial Nos. 07/466,008, filed January 12, 1990, 07/610,515, filed November 8, 1990, 07/919,297, filed July 24, 1992, 07/922,649, filed July 30, 1992, filed 08/031,801, filed March 15, 1993, 08/112,848, filed August 27, 1993, 08/234,145, filed April 28, 1994, 08/376,279, filed January 20, 1995, 08/430, 938, April 27, 1995, 08/464,584, filed June 5, 1995, 08/464,582, filed June 5, 1995, 08/463,191, filed June 5, 1995, 08/462,837, filed June 5, 1995, 08/486,853, filed June 5, 1995, 08/486,857, filed June 5, 1995, 08/486,859, filed June 5, 1995, 08/462,513, filed June 5, 1995, 08/724,752, filed October 2, 1996, and 08/759,620, filed December 3, 1996. *See also* Mendez et al. *Nature Genetics* 15:146-156 (1997). *See also* European Patent No., EP 0 463 151 B1, grant published June 12, 1996, International Patent Application No., WO 94/02602, published February 3, 1994,

International Patent Application No., WO 96/34096, published October 31, 1996, and PCT Application No. PCT/US96/05928, filed April 29, 1996. The disclosures of each of the above-cited patents, applications, and references are hereby incorporated by reference in their entirety.

5 In an alternative approach, others, including GenPharm International, Inc., have utilized a "minilocus" approach. In the minilocus approach, an exogenous Ig locus is mimicked through the inclusion of pieces (individual genes) from the Ig locus. Thus, one or more V<sub>H</sub> genes, one or more D<sub>H</sub> genes, one or more J<sub>H</sub> genes, a mu constant region, and a second constant region (preferably a gamma constant region) are formed into a  
10 construct for insertion into an animal. This approach is described in U.S. Patent No. 5,545,807 to Surani et al. and U.S. Patent Nos. 5,545,806 and 5,625,825, both to Lonberg and Kay, and GenPharm International U.S. Patent Application Serial Nos. 07/574,748, filed August 29, 1990, 07/575,962, filed August 31, 1990, 07/810,279, filed December 17, 1991, 07/853,408, filed March 18, 1992, 07/904,068, filed June 23, 1992, 07/990,860,  
15 filed December 16, 1992, 08/053,131, filed April 26, 1993, 08/096,762, filed July 22, 1993, 08/155,301, filed November 18, 1993, 08/161,739, filed December 3, 1993, 08/165,699, filed December 10, 1993, 08/209,741, filed March 9, 1994, the disclosures of which are hereby incorporated by reference. *See also* International Patent Application Nos. WO 94/25585, published November 10, 1994, WO 93/12227, published June 24,  
20 1993, WO 92/22645, published December 23, 1992, WO 92/03918, published March 19, 1992, the disclosures of which are hereby incorporated by reference in their entirety. *See further* Taylor et al., 1992, Chen et al., 1993, Tuailon et al., 1993, Choi et al., 1993, Lonberg et al., (1994), Taylor et al., (1994), and Tuailon et al., (1995), the disclosures of which are hereby incorporated by reference in their entirety.

25 The inventors of Surani et al., cited above and assigned to the Medical Research Counsel (the "MRC"), produced a transgenic mouse possessing an Ig locus through use of the minilocus approach. The inventors on the GenPharm International work, cited above, Lonberg and Kay, following the lead of the present inventors, proposed inactivation of the endogenous mouse Ig locus coupled with substantial duplication of the  
30 Surani et al. work.

An advantage of the minilocus approach is the rapidity with which constructs including portions of the Ig locus can be generated and introduced into animals. Commensurately, however, a significant disadvantage of the minilocus approach is that, in theory, insufficient diversity is introduced through the inclusion of small numbers of V, D, and J genes. Indeed, the published work appears to support this concern. B-cell development and antibody production of animals produced through use of the minilocus approach appear stunted. Therefore, research surrounding the present invention has consistently been directed towards the introduction of large portions of the Ig locus in order to achieve greater diversity and in an effort to reconstitute the immune repertoire of the animals.

Human anti-mouse antibody (HAMA) responses have led the industry to prepare chimeric or otherwise humanized antibodies. While the C225 antibody is a chimeric antibody, having a human constant region and a murine variable region, it is expected that certain human anti-chimeric antibody (HACA) responses will be observed, particularly in chronic or multi-dose utilizations of the antibody.

Thus, it would be desirable to provide fully human antibodies against EGF-r that possess similar or enhanced activities as compared to C225 in order to vitiate concerns and/or effects of HAMA or HACA response.

#### **BRIEF DESCRIPTION OF THE DRAWING FIGURES**

Figure 1 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E1.1. Differences between the sequence encoded by heavy chain variable gene 4-31 and the sequence of the E1.1 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 2 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 1 that was cloned out of the hybridoma E1.1.

Figure 3 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E1.1. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E1.1 secreted light



chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 4 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 3 that was cloned out of the hybridoma E1.1.

Figure 5 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E2.4. Differences between the sequence encoded by heavy chain variable gene 4-31 and the sequence of the E2.4 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 6 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 5 that was cloned out of the hybridoma E2.4.

Figure 7 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E2.4. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E2.4 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 8 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 7 that was cloned out of the hybridoma E2.4.

Figure 9 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E2.5. Differences between the sequence encoded by heavy chain variable gene 4-31 and the sequence of the E2.5 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 10 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 9 that was cloned out of the hybridoma E2.5.

Figure 11 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E2.5. Differences between the sequence

encoded by light chain variable gene 018 and the sequence of the E2.5 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

5        Figure 12 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 11 that was cloned out of the hybridoma E2.5.

Figure 13 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E6.2. Differences between the sequence encoded by heavy chain variable gene 4-31 and the sequence of the E6.2 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 14 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 13 that was cloned out of the hybridoma E6.2.

15        Figure 15 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E6.2. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E6.2 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 16 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 15 that was cloned out of the hybridoma E6.2.

Figure 17 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E6.4. Differences between the sequence encoded by heavy chain variable gene 4-31 and the sequence of the E6.4 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 18 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 17 that was cloned out of the hybridoma E6.2.

Figure 19 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E6.4. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E6.4 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 20 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 19 that was cloned out of the hybridoma E6.4.

Figure 21 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E2.11. Differences between the sequence encoded by heavy chain variable gene 4-61 and the sequence of the E2.11 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 22 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 21 that was cloned out of the hybridoma E2.11.

Figure 23 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E2.11. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E2.11 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 24 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 23 that was cloned out of the hybridoma E2.11.

Figure 25 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E6.3. Differences between the sequence encoded by heavy chain variable gene 4-61 and the sequence of the E6.3 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 26 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 25 that was cloned out of the hybridoma E6.3.

Figure 27 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E6.3. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E6.3 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 28 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 27 that was cloned out of the hybridoma E6.3.

Figure 29 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E7.6.3. Differences between the sequence encoded by heavy chain variable gene 4-61 and the sequence of the E7.6.3 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 30 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 29 that was cloned out of the hybridoma E7.6.3.

Figure 31 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E7.6.3. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E7.6.3 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 32 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 31 that was cloned out of the hybridoma E7.6.3.

Figure 33 provides a comparison of specific anti-EGF-r antibody heavy chain amino acid sequence comparisons with the amino acid sequence of the particular V<sub>H</sub> gene which encodes the heavy chain of the particular antibody.

Figure 34 provides a comparison of specific anti-EGF-r antibody light chain amino acid sequence comparisons with the amino acid sequence of the particular V $\kappa$  gene which encodes the light chain of the particular antibody.

Figure 35 shows blockage EGF binding to human epidermoid carcinoma A431 cells by human anti-EGF-r antibodies *in vitro*, where ( $\square$ ) depicts the results achieved by an anti-EGF-r antibody in accordance with the invention, ( $\bullet$ ) depicts the results achieved by the murine monoclonal antibody 225, and ( $\blacktriangle$ ) depicts the results achieved by a control, nonspecific, human IgG2 antibody.

Figure 36 shows inhibition of EGF binding to human epidermoid carcinoma A431 cells by human anti-EGF-r antibodies *in vitro*, where ( $\square$ ) depicts the results achieved by the murine monoclonal antibody 225, ( $\circ$ ) depicts the results achieved by the murine monoclonal antibody 528, ( $\blacktriangledown$ ) depicts the results achieved using the E1.1 antibody in accordance with the invention, ( $\blacktriangle$ ) depicts the results achieved using the E2.4 antibody in accordance with the invention, ( $\blacktriangleright$ ) depicts the results achieved using the E2.5 antibody in accordance with the invention, ( $\blacktriangleleft$ ) depicts the results achieved using the E2.6 antibody in accordance with the invention, ( $\blacklozenge$ ) depicts the results achieved using the E2.11 antibody in accordance with the invention, and ( $\otimes$ ) depicts the results achieved using a control, nonspecific human IgG2 antibody.

Figure 37 shows inhibition of TGF- $\alpha$  binding to human epidermoid carcinoma A431 cells by human anti-EGF-r antibodies *in vitro*, where ( $\square$ ) depicts the results achieved by the murine monoclonal antibody 225, ( $\blacklozenge$ ) depicts the results achieved using the E6.2 antibody in accordance with the invention, ( $\bullet$ ) depicts the results achieved using the E6.3 antibody in accordance with the invention, ( $\blacktriangle$ ) depicts the results achieved using the E7.2 antibody in accordance with the invention, ( $\blacksquare$ ) depicts the results achieved using the E7.10 antibody in accordance with the invention, ( $\blacktriangledown$ ) depicts the results achieved using the E7.6.3, and ( $\otimes$ ) depicts the results achieved using a control, nonspecific human IgG2 antibody.

Figure 38 shows inhibition of EGF binding to human colon carcinoma SW948 cells by human anti-EGF-r antibodies *in vitro*, where ( ) depicts the results achieved by an anti-EGF-r antibody in accordance with the invention, ( $\square$ ) depicts the results achieved

by the murine monoclonal antibody 225, and (▲) depicts the results achieved by a control, nonspecific, human IgG2 antibody.

Figure 39 shows that human anti-EGF-r antibodies derived from XenoMouse II strains inhibit growth of SW948 cells *in vitro*, where (○) depicts the results achieved by an anti-EGF-r antibody in accordance with the invention, (□) depicts the results achieved by the murine monoclonal antibody 225, and (▲) depicts the results achieved by a control, nonspecific, human IgG2 antibody.

Figure 40 shows the inhibition of human epidermoid carcinoma A431 cell growth in nude mice through use of human anti-EGF-r antibodies in accordance with the invention *in vivo*. In the Figure, (▲) depicts the results achieved with a dosage of 1 mg of a human anti-EGF-r antibody in accordance with the present invention, (▼) depicts the results achieved with a dosage of 0.2 mg of a human anti-EGF-r antibody in accordance with the present invention, (□) depicts the results achieved by a control, nonspecific, human IgG2 antibody, and (○) depicts the results achieved utilizing phosphate buffered saline as a control.

Figure 41 shows data related to the inhibition of epidermoid carcinoma formation in nude mice through use of human anti-EGF-r antibodies in accordance with the invention *in vivo* showing tumor incidence at day 19.

Figure 42 shows data related to the inhibition of epidermoid carcinoma formation in nude mice through use of human anti-EGF-r antibodies in accordance with the invention *in vivo* showing tumor incidence at day 120.

Figure 43 shows data related to the eradication of an established human epidermoid tumor in nude mice through use of human anti-EGF-r antibodies in accordance with the invention *in vivo*. In the Figure, (▲) depicts the results achieved with multiple doses of 1 mg each of a human anti-EGF-r antibody in accordance with the present invention (E7.6.3), (×) depicts the results achieved with two doses of 125 µg each of doxorubicin, (★) depicts the results achieved with a multiple doses of 1 mg each of a human anti-EGF-r antibody in accordance with the present invention (E7.6.3) in combination with two doses of 125 µg each of doxorubicin, (■) depicts the results achieved by a control, nonspecific, human IgG2 antibody, and (◆) depicts the results achieved utilizing phosphate buffered saline as a control.

Figure 44 shows data related to the eradication of an established human epidermoid tumor in nude mice through use of human anti-EGF-r antibodies in accordance with the invention *in vivo*. In the Figure, (◆) depicts the results achieved with multiple doses of 0.5 mg each of a human anti-EGF-r antibody in accordance with the present invention (E2.5), (■) depicts the results achieved with two doses of 125 µg each of doxorubicin, (▲) depicts the results achieved with multiple doses of 0.5 mg each of a human anti-EGF-r antibody in accordance with the present invention (E2.5) in combination with two doses of 125 µg each of doxorubicin, (×) depicts the results achieved utilizing phosphate buffered saline as a control, and (\*) depicts the results achieved utilizing a control, nonspecific, human IgG2 antibody at a dose of 1 mg.

Figure 45 shows the inhibition of EGF binding to EGFr by anti-EGFr MAbs. The binding of <sup>125</sup>I-EGF (0.1nM) to (A) A431 or (B) SW948 cells was determined in the presence of XenoMouse-derived human (■ E7.6.3; ● E2.5.1; ▲ E2.3.1; ▽ E7.5.2; ○ E7.8.2) or murine (▼ 225; ◆ 528) anti-EGFr antibodies, or in the presence of the human IgG<sub>2</sub>κ control antibody (hIgG<sub>2</sub>κ). The binding of <sup>125</sup>I-EGF to the cells in the absence of antibodies was designated as 100%. The data shown are representative of multiple experiments.

Figure 46 shows the inhibition of EGF-induced tyrosine phosphorylation of EGFr by E7.6.3 MAb. A431 were incubated with or without EGF (16 nM), in the absence or presence of increasing concentrations of E7.6.3 MAb (0.2~133 nM) as described in "[Materials and Methods]". Total EGFr and EGFr tyrosine phosphorylation in cell lysates was visualized (A) and quantitated (B) using Western blot analysis using an anti-phosphotyrosine antibody as described in "Materials and Methods".

Figure 47 shows the Inhibition of EGF-mediated cell activation by anti-EGFr antibodies. A. Activation of A431 cells by 1.67 nM EGF, in the absence or presence of different concentrations of E7.6.3, was measured by Cytosensor as changes in extracellular acidification rate. The arrow indicates the times when EGF and/or E7.6.3 were added to the cells. The response is presented as % of baseline acidification rate (designated as 100%). B. Effect of increasing concentrations of E7.6.3 and control PK16.3.1 antibodies on A431 cell activation induced by EGF (1.67 nM), as determined by Cytosensor. The response to EGF was measured at the peak acidification rate shown

in A. The response in the absence of antibodies was designated as 100%. The data shown are representative of 2 different experiments.

Figure 48 shows the inhibition of *in vitro* tumor cell proliferation by anti-EGFr antibodies. A431 (A) or MDA-468 (B) cells were cultured with anti-EGFr MAbs (E7.6.3; 5 ◆ 225; ▲ 528) or control human myeloma IgG<sub>2</sub>κ (O), as described in Materials and Methods. Cell viability was assayed by crystal violet staining. Data presented as % of cell growth inhibition.

Figure 49 shows the eradication of established A431 tumor xenografts by E7.6.3 MAb. A431 cells ( $5 \times 10^6$ ) were injected s.c. into the nude mice on day 0. A. At day 7 10 when tumor size reached an average volume of  $0.1 \sim 0.25 \text{ cm}^2$ , mice (n = 5) were injected i.p. with PBS (O) or with 1 mg of either E7.6.3 (◆) or the control human myeloma IgG<sub>2</sub>κ (■) antibodies twice a week for three weeks. B. when the mean tumor sizes reached  $0.13$  (▲),  $0.56$  (▼),  $0.73$  (◆) or  $1.2$  (●)  $\text{cm}^3$ , mice (n=10) were treated with 1 mg E7.6.3, twice a week for three weeks. Control mice (O, n=10) received no treatment. C, at day 15 10 when tumor sizes reached  $0.15 \text{ cm}^3$ , mice (n = 8) were injected i.p. with  $200 \mu\text{g}$  (▽) or  $50 \mu\text{g}$  (Δ) doses of E7.6.3, or  $200 \mu\text{g}$  (▼) or  $50 \mu\text{g}$  (●) doses of 225 MAbs, twice a week for three weeks. Control mice (O) received no treatment. Tumors were measured weekly and their volume was measured as described in "Materials and Methods". The data is presented as the mean tumor size  $\pm$  SEM.

Figure 50 shows the effect of the E7.6.3 Mab on the growth of established human tumor xenografts.  $5 \times 10^6$  MDA468 (A) or A431 (B) cells were injected s.c. into the nude mice on day 0. A. 7 days following injection of MDA468 cells, mice (n = 8) were injected i.p. with 2 mg E7.6.3 once a week for two weeks (▲). Control mice (n=8) received no treatment (O). B. Mice (n = 10) were given 0.5 mg E7.6.3 via i.p. (■), i.v. 25 (▲), s.c. (▼) or i.m. (◆) injections twice a week for three weeks. Control mice (□) received no treatment. The data represents the mean  $\pm$  SEM.

Figure 51 shows certain histopathology of E7.6.3- treated A431 xenografts. A. Mice with established A431 xenografts were treated i.p. with 0.5 mg E7.6.3 twice a week for three weeks. On day 76 after tumor cells ( $5 \times 10^6$ ) injection, tumor-like nodules were



excised and examined histologically as described in Materials and Methods. **B.** Histological analysis of A431 tumor xenografts excised from an untreated mouse.

Figure 52 is a table the prevention of tumor formation by the E7.6.3 MAb. On day 0, mice were injected s.c. with  $5 \times 10^6$  A431 cells and i.p. with PBS, 1 mg of control antibody PK16.3.1, 0.2mg or 1mg of E7.6.3 MAb twice a week, for three weeks. Incidence of tumor formation is expressed as the number of mice with visible tumors/total number of mice within each group. ND: not determined.

Figure 53 is a table showing the eradication of established A431 xenograft tumors by E7.6.3 MAb. Nude mice with established A431 xenografts (tumor size of 0.13-0.25 cm<sup>3</sup> at day 7-10) were treated i.p. with various doses of E7.6.3 MAb or human myeloma IgG<sub>2</sub>k control antibody twice a week, for three weeks. The table summarizes the results of 11 experiments. Mice that received no treatment or control IgG<sub>2</sub>k antibody were sacrificed between day 35 and 50.

Figure 54 is a western blot showing the inhibitory effects of the E7.6.3 antibody on EGF-induced tyrosine phosphorylation and degradation of EGFr in cultured A431 cells.

Figure 55 is a western blot showing preliminary results obtained comparing the inhibitory effects of the E7.6.3 and 225 antibodies on EGF-induced tyrosine phosphorylation and degradation of EGFr in cultured A431 cells.

Figure 56 is a western blot showing preliminary results obtained comparing the inhibitory effects of the E7.6.3 and 225 antibodies on EGF-induced tyrosine phosphorylation and degradation of EGFr in cultured A431 cells.

Figure 57 provides oligonucleotide and amino acid sequence information on the heavy chain of the antibody produced by the E20.1 hybridoma.

Figure 58 provides oligonucleotide and amino acid sequence information on the light chain of the antibody produced by the E20.1 hybridoma.

Figure 59 provides oligonucleotide and amino acid sequence information on the heavy chain of the antibody produced by the E20.3 hybridoma.

Figure 60 provides oligonucleotide and amino acid sequence information on the light chain of the antibody produced by the E20.3 hybridoma.

Figure 61 provides oligonucleotide and amino acid sequence information on the heavy chain of the antibody produced by the E20.8.1 hybridoma.

Figure 62 provides oligonucleotide and amino acid sequence information on the light chain of the antibody produced by the E20.8.1 hybridoma.

5 Figure 63 provides oligonucleotide and amino acid sequence information on the heavy chain of the antibody produced by the E20.11.2 hybridoma.

Figure 64 provides oligonucleotide and amino acid sequence information on the light chain of the antibody produced by the E20.11.2 hybridoma.

10 Figure 65 provides oligonucleotide and amino acid sequence information on the heavy chain of the antibody produced by the E20.18 hybridoma.

Figure 66 provides oligonucleotide and amino acid sequence information on the light chain of the antibody produced by the E20.18 hybridoma.

Figure 67 provides oligonucleotide and amino acid sequence information on the heavy chain of the antibody produced by the E20.19.2 hybridoma.

15 Figure 68 provides oligonucleotide and amino acid sequence information on the light chain of the antibody produced by the E20.19.2 hybridoma.

Figure 69 provides oligonucleotide and amino acid sequence information on the heavy chain of the antibody produced by the E20.21 hybridoma.

20 Figure 70 provides oligonucleotide and amino acid sequence information on the heavy chain of the antibody produced by the E20.22 hybridoma.

Figure 71 provides a mutation analysis of antibodies in accordance with the invention. In particular, the sequence of the E20.21 antibody, which comprises a VH 4-31 heavy chain is shown.

25 Figure 72 provides oligonucleotide and amino acid sequence information on the heavy chain of the antibody produced by the E7.5.2 hybridoma.

Figure 73 provides oligonucleotide and amino acid sequence information on the light chain of the antibody produced by the E7.5.2 hybridoma.

Figure 74 shows data related to the eradication of an established human epidermoid tumor in nude mice through use of human anti-EGF-r neutralizing antibody E7.6.3 in accordance with the invention in vivo. A431 cells ( $5 \times 10^6$ ) were injected s.c. into the nude mice on day 0. At day 8 when tumor become established, mice (n=10)

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were injected i.p. with 1 mg of either E7.6.3 (filled square) or E7.5.2 (filled triangle), or received no treatment as a control (open circle) twice a week for three weeks. The arrows indicate the timing and number of antibody injections. Tumors were measured twice a week and their volume was measured as described in the "Materials and Methods" section of Example 14. The data is presented as the mean tumor size±SEM.

Figure 75 shows the effect of the E7.6.3 Mab on the growth of established human pancreatic tumor xenografts. HPAC cells ( $5 \times 10^6$ ) were injected s.c. into the nude mice on day 0. At day 7 when tumor become established, mice (n=10) were injected i.p. with 1mg of E7.6.3 (filled square) or received no treatment as a control (open circle) twice a week for three weeks. The arrows indicate the timing and number of antibody injections. Tumors were measured twice a week and their volume was measured as described in the "Materials and Methods" section of Example 14. The data is presented as the mean tumor size±SEM.

Figure 76 shows the inhibition of the growth of established human pancreatic tumor xenografts. BxPC3 cells ( $5 \times 10^6$ ) were injected s.c. into the nude mice on day 0. At day 7 when tumor become established, mice (n=10) were injected i.p. with 1mg of E7.6.3 (filled square) or received no treatment as a control (open circle) twice a week for three weeks. The arrows indicate the timing and number of antibody injections. Tumors were measured twice a week and their volume was measured as described in the "Materials and Methods" section of Example 14. The data is presented as the mean tumor size±SEM.

Figure 77 shows the inhibition of the growth of established human pancreatic tumor xenografts. Hs77T9 cells ( $5 \times 10^6$ ) were injected s.c. into the nude mice on day 0. At day 7 when tumor become established, mice (n=10) were injected i.p. with 1mg of E7.6.3 (filled square) or received no treatment as a control (open circle) twice a week for three weeks. The arrows indicate the timing and number of antibody injections. Tumors were measured twice a week and their volume was measured as described in the "Materials and Methods" section of Example 14. The data is presented as the mean tumor size±SEM.

Figure 78 shows the inhibition of the growth of established human renal tumor xenografts. Sk-RC-29 cells ( $5 \times 10^6$ ) were injected s.c. into the nude mice on day 0. At

day 7 when tumor become established, mice (n=10) were injected i.p. with 1mg of E7.6.3 (filled square) or received no treatment as a control (open circle) twice a week for three weeks. The arrows indicate the timing and number of antibody injections. Tumors were measured twice a week and their volume was measured as described in the "Materials and Methods" section of Example 14. The data is presented as the mean tumor size $\pm$ SEM.

Figure 79 shows the effect of the E7.6.3 Mab on the growth of established human colon tumor xenografts. SW707 (EGF-r<sup>-</sup>) cells ( $5 \times 10^6$ ) were injected s.c. into the nude mice on day 0. At day 7 when tumor become established, mice (n=10) were injected i.p. with 1mg of E7.6.3 (filled square) or received no treatment as a control (open circle) twice a week for three weeks. The arrows indicate the timing and number of antibody injections. Tumors were measured twice a week and their volume was measured as described in the "Materials and Methods" section of Example 14. The data is presented as the mean tumor size $\pm$ SEM.

Figure 80 provides a series of graphs showing the internalization of EGF-r with panel A showing the internalization of EGF-r based on  $^{125}$ I-EGF and panel B showing the internalization of EGF-r based on  $^{125}$ I-E7.6.3.

Figure 81 provides a bar graph that demonstrates the competitive effects of antibodies with EGF as a positive control (panel A) for the bar graph in panel B that demonstrates that E7.6.3 is not degraded.

Figure 82 is a series of immunoprecipitation blots comparing the effects of antibodies on EGF-r degradation.

Figure 83 is an immunoprecipitation blot comparing the effects of antibodies on EGF-r threonine phosphorylation.

Figure 84 is a western blot comparing the effects of antibodies on other threonine phosphorylation.

Figure 85 is a series of bar graphs showing the effects of antibodies on the production of vascular endothelial cell growth factor in tumor (A431) cells.

Figure 86 is a graph showing the effects of antibodies on the production of vascular endothelial cell growth factor in endothelial (ECV304) cells.

## SUMMARY OF THE INVENTION

In accordance with the present invention, there is provided an antibody that binds to epidermal growth factor receptor that possesses one or more of the following functional characteristics: (i) inhibit tyrosine phosphorylation of EGF-r, (ii) do not inhibit EGF-r internalization, (iii) inhibit EGF-r degradation, (iii) inhibition of EGF induced EGF-r degradation, (iv) protect threonine phosphorylation of EGF-r, (v) protect threonine phosphorylation of other molecules, particularly a 62 KD molecule identified by immunoprecipitation, and (vi) inhibit vascular endothelial cell growth factor signal by tumor cells by greater than 50% and endothelial cells by greater than 40% relative to control.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

In accordance with the present invention, there are provided fully human monoclonal antibodies against human epidermal growth factor receptor (EGF-r). Nucleotide sequences encoding and amino acid sequences comprising heavy and light chain immunoglobulin molecules, particularly sequences corresponding to a contiguous heavy and light chain sequences from CDR1 through CDR3, are provided. Hybridomas expressing such immunoglobulin molecules and monoclonal antibodies are also provided.

To this end we utilized our human antibody-producing XenoMouse strains to generate potent fully human anti-EGFr MABs. As previously described, these mouse strains were engineered to be deficient in mouse antibody production and to contain integrated megabase-sized fragments from the human heavy and kappa ( $\kappa$ ) light chain loci with the majority of the human antibody gene repertoire (18). The human Ig loci provided the XenoMouse strains with the ability to produce high affinity human MABs to a broad spectrum of antigens, including human antigens (18, 19). As presented in this report, using XenoMouse strains we generated a panel of anti-EGFr fully human IgG<sub>2</sub> $\kappa$  MABs from which we selected the E7.6.3 antibody. This antibody exhibits high affinity ( $5 \times 10^{-11}$  M) to the receptor, neutralizes both EGF and TGF $\alpha$  binding to EGFr-expressing human carcinoma cell lines, and inhibits ligand-induced tumor cell proliferation. The antibody not only prevents human tumor formation in athymic mice but, more importantly, effectively eradicates large established human tumor xenografts,

independent of chemotherapeutic agents. The potent anti-tumor activity of the E7.6.3 MAb indicates it is a good candidate for use as a monotherapeutic agent for the treatment of EGFr-expressing human solid tumors.

5 **Definitions**

Unless otherwise defined, scientific and technical terms used in connection with the present invention shall have the meanings that are commonly understood by those of ordinary skill in the art. Further, unless otherwise required by context, singular terms shall include pluralities and plural terms shall include the singular. Generally,  
10 nomenclatures utilized in connection with, and techniques of, cell and tissue culture, molecular biology, and protein and oligo- or polynucleotide chemistry and hybridization described herein are those well known and commonly used in the art. Standard techniques are used for recombinant DNA, oligonucleotide synthesis, and tissue culture and transformation (e.g., electroporation, lipofection). Enzymatic reactions and  
15 purification techniques are performed according to manufacturer's specifications or as commonly accomplished in the art or as described herein. The foregoing techniques and procedures are generally performed according to conventional methods well known in the art and as described in various general and more specific references that are cited and discussed throughout the present specification. See e.g., Sambrook et al. *Molecular Cloning: A Laboratory Manual* (2d ed., Cold Spring Harbor Laboratory Press, Cold  
20 Spring Harbor, N.Y. (1989)), which is incorporated herein by reference. The nomenclatures utilized in connection with, and the laboratory procedures and techniques of, analytical chemistry, synthetic organic chemistry, and medicinal and pharmaceutical chemistry described herein are those well known and commonly used in the art. Standard  
25 techniques are used for chemical syntheses, chemical analyses, pharmaceutical preparation, formulation, and delivery, and treatment of patients.

As utilized in accordance with the present disclosure, the following terms, unless otherwise indicated, shall be understood to have the following meanings:

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The term "isolated polynucleotide" as used herein shall mean a polynucleotide of genomic, cDNA, or synthetic origin or some combination thereof, which by virtue of its origin the "isolated polynucleotide" (1) is not associated with all or a portion of a polynucleotide in which the "isolated polynucleotide" is found in nature, (2) is operably  
5 linked to a polynucleotide which it is not linked to in nature, or (3) does not occur in nature as part of a larger sequence.

The term "isolated protein" referred to herein means a protein of cDNA, recombinant RNA, or synthetic origin or some combination thereof, which by virtue of  
10 its origin, or source of derivation, the "isolated protein" (1) is not associated with proteins found in nature, (2) is free of other proteins from the same source, e.g. free of murine proteins, (3) is expressed by a cell from a different species, or (4) does not occur in nature.

15 The term "polypeptide" is used herein as a generic term to refer to native protein, fragments, or analogs of a polypeptide sequence. Hence, native protein, fragments, and analogs are species of the polypeptide genus. Preferred polypeptides in accordance with the invention comprise the human heavy chain immunoglobulin molecules represented by Figures 1, 5, 9, 13, 17, 21, 25, and 29 and the human kappa light chain immunoglobulin  
20 molecules represented by Figures 3, 7, 11, 15, 19, 23, 27, and 31, as well as antibody molecules formed by combinations comprising the heavy chain immunoglobulin molecules with light chain immunoglobulin molecules, such as the kappa light chain immunoglobulin molecules, and vice versa, as well as fragments and analogs thereof.

25 The term "naturally-occurring" as used herein as applied to an object refers to the fact that an object can be found in nature. For example, a polypeptide or polynucleotide sequence that is present in an organism (including viruses) that can be isolated from a source in nature and which has not been intentionally modified by man in the laboratory or otherwise is naturally-occurring.

The term "operably linked" as used herein refers to positions of components so described are in a relationship permitting them to function in their intended manner. A control sequence "operably linked" to a coding sequence is ligated in such a way that expression of the coding sequence is achieved under conditions compatible with the control sequences.

The term "control sequence" as used herein refers to polynucleotide sequences which are necessary to effect the expression and processing of coding sequences to which they are ligated. The nature of such control sequences differs depending upon the host organism; in prokaryotes, such control sequences generally include promoter, ribosomal binding site, and transcription termination sequence; in eukaryotes, generally, such control sequences include promoters and transcription termination sequence. The term "control sequences" is intended to include, at a minimum, all components whose presence is essential for expression and processing, and can also include additional components whose presence is advantageous, for example, leader sequences and fusion partner sequences.

The term "polynucleotide" as referred to herein means a polymeric form of nucleotides of at least 10 bases in length, either ribonucleotides or deoxynucleotides or a modified form of either type of nucleotide. The term includes single and double stranded forms of DNA.

The term "oligonucleotide" referred to herein includes naturally occurring, and modified nucleotides linked together by naturally occurring, and non-naturally occurring oligonucleotide linkages. Oligonucleotides are a polynucleotide subset generally comprising a length of 200 bases or fewer. Preferably oligonucleotides are 10 to 60 bases in length and most preferably 12, 13, 14, 15, 16, 17, 18, 19, or 20 to 40 bases in length. Oligonucleotides are usually single stranded, e.g. for probes; although oligonucleotides may be double stranded, e.g. for use in the construction of a gene mutant. Oligonucleotides of the invention can be either sense or antisense oligonucleotides.



5 The term "naturally occurring nucleotides" referred to herein includes deoxyribonucleotides and ribonucleotides. The term "modified nucleotides" referred to herein includes nucleotides with modified or substituted sugar groups and the like. The term "oligonucleotide linkages" referred to herein includes oligonucleotides linkages such as phosphorothioate, phosphorodithioate, phosphoroselenoate, phosphorodiselenoate, phosphoroanilothioate, phosphoraniladate, phosphoroamidate, and the like. See e.g., LaPlanche et al. *Nucl. Acids Res.* **14**:9081 (1986); Stec et al. *J. Am. Chem. Soc.* **106**:6077 (1984); Stein et al. *Nucl. Acids Res.* **16**:3209 (1988); Zon et al. *Anti-Cancer Drug Design* **6**:539 (1991); Zon et al. *Oligonucleotides and Analogues: A Practical Approach*, pp. 87-108 (F. Eckstein, Ed., Oxford University Press, Oxford England (1991)); Stec et al. U.S. Patent No. 5,151,510; Uhlmann and Peyman *Chemical Reviews* **90**:543 (1990), the disclosures of which are hereby incorporated by reference. An oligonucleotide can include a label for detection, if desired.

15 The term "selectively hybridize" referred to herein means to detectably and specifically bind. Polynucleotides, oligonucleotides and fragments thereof in accordance with the invention selectively hybridize to nucleic acid strands under hybridization and wash conditions that minimize appreciable amounts of detectable binding to nonspecific nucleic acids. High stringency conditions can be used to achieve selective hybridization conditions as known in the art and discussed herein. Generally, the nucleic acid sequence homology between the polynucleotides, oligonucleotides, and fragments of the invention and a nucleic acid sequence of interest will be at least 80%, and more typically with preferably increasing homologies of at least 85%, 90%, 95%, 99%, and 100%. Two amino acid sequences are homologous if there is a partial or complete identity between their sequences. For example, 85% homology means that 85% of the amino acids are identical when the two sequences are aligned for maximum matching. Gaps (in either of the two sequences being matched) are allowed in maximizing matching; gap lengths of 5 or less are preferred with 2 or less being more preferred. Alternatively and preferably, two protein sequences (or polypeptide sequences derived from them of at least 30 amino acids in length) are homologous, as this term is used herein, if they have an alignment score of at more than 5 (in standard deviation units) using the program ALIGN with the

mutation data matrix and a gap penalty of 6 or greater. See Dayhoff, M.O., in *Atlas of Protein Sequence and Structure*, pp. 101-110 (Volume 5, National Biomedical Research Foundation (1972)) and Supplement 2 to this volume, pp. 1-10. The two sequences or parts thereof are more preferably homologous if their amino acids are greater than or equal to 50% identical when optimally aligned using the ALIGN program. The term "corresponds to" is used herein to mean that a polynucleotide sequence is homologous (i.e., is identical, not strictly evolutionarily related) to all or a portion of a reference polynucleotide sequence, or that a polypeptide sequence is identical to a reference polypeptide sequence. In contradistinction, the term "complementary to" is used herein

to mean that the complementary sequence is homologous to all or a portion of a reference polynucleotide sequence. For illustration, the nucleotide sequence "TATAC" corresponds to a reference sequence "TATAC" and is complementary to a reference sequence "GTATA".

The following terms are used to describe the sequence relationships between two or more polynucleotide or amino acid sequences: "reference sequence", "comparison window", "sequence identity", "percentage of sequence identity", and "substantial identity". A "reference sequence" is a defined sequence used as a basis for a sequence comparison; a reference sequence may be a subset of a larger sequence, for example, as a segment of a full-length cDNA or gene sequence given in a sequence listing or may comprise a complete cDNA or gene sequence. Generally, a reference sequence is at least 18 nucleotides or 6 amino acids in length, frequently at least 24 nucleotides or 8 amino acids in length, and often at least 48 nucleotides or 16 amino acids in length. Since two polynucleotides or amino acid sequences may each (1) comprise a sequence (i.e., a portion of the complete polynucleotide or amino acid sequence) that is similar between the two molecules, and (2) may further comprise a sequence that is divergent between the two polynucleotides or amino acid sequences, sequence comparisons between two (or more) molecules are typically performed by comparing sequences of the two molecules over a "comparison window" to identify and compare local regions of sequence similarity. A "comparison window", as used herein, refers to a conceptual segment of at least 18 contiguous nucleotide positions or 6 amino acids wherein a polynucleotide

sequence or amino acid sequence may be compared to a reference sequence of at least 18 contiguous nucleotides or 6 amino acid sequences and wherein the portion of the polynucleotide sequence in the comparison window may comprise additions, deletions, substitutions, and the like (i.e., gaps) of 20 percent or less as compared to the reference sequence (which does not comprise additions or deletions) for optimal alignment of the two sequences. Optimal alignment of sequences for aligning a comparison window may be conducted by the local homology algorithm of Smith and Waterman *Adv. Appl. Math.* 2:482 (1981), by the homology alignment algorithm of Needleman and Wunsch *J. Mol. Biol.* 48:443 (1970), by the search for similarity method of Pearson and Lipman *Proc. Natl. Acad. Sci. (U.S.A.)* 85:2444 (1988), by computerized implementations of these algorithms (GAP, BESTFIT, FASTA, and TFASTA in the Wisconsin Genetics Software Package Release 7.0, (Genetics Computer Group, 575 Science Dr., Madison, Wis.), Geneworks, or MacVector software packages), or by inspection, and the best alignment (i.e., resulting in the highest percentage of homology over the comparison window) generated by the various methods is selected.

The term "sequence identity" means that two polynucleotide or amino acid sequences are identical (i.e., on a nucleotide-by-nucleotide or residue-by-residue basis) over the comparison window. The term "percentage of sequence identity" is calculated by comparing two optimally aligned sequences over the window of comparison, determining the number of positions at which the identical nucleic acid base (e.g., A, T, C, G, U, or I) or residue occurs in both sequences to yield the number of matched positions, dividing the number of matched positions by the total number of positions in the comparison window (i.e., the window size), and multiplying the result by 100 to yield the percentage of sequence identity. The terms "substantial identity" as used herein denotes a characteristic of a polynucleotide or amino acid sequence, wherein the polynucleotide or amino acid comprises a sequence that has at least 85 percent sequence identity, preferably at least 90 to 95 percent sequence identity, more usually at least 99 percent sequence identity as compared to a reference sequence over a comparison window of at least 18 nucleotide (6 amino acid) positions, frequently over a window of at least 24-48 nucleotide (8-16 amino acid) positions, wherein the percentage of sequence

identity is calculated by comparing the reference sequence to the sequence which may include deletions or additions which total 20 percent or less of the reference sequence over the comparison window. The reference sequence may be a subset of a larger sequence.

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As used herein, the twenty conventional amino acids and their abbreviations follow conventional usage. See *Immunology - A Synthesis* (2<sup>nd</sup> Edition, E.S. Golub and D.R. Gren, Eds., Sinauer Associates, Sunderland, Mass. (1991)), which is incorporated herein by reference. Stereoisomers (e.g., D-amino acids) of the twenty conventional amino acids, unnatural amino acids such as  $\alpha$ -,  $\alpha$ -disubstituted amino acids, N-alkyl amino acids, lactic acid, and other unconventional amino acids may also be suitable components for polypeptides of the present invention. Examples of unconventional amino acids include: 4-hydroxyproline,  $\gamma$ -carboxyglutamate,  $\epsilon$ -N,N,N-trimethyllysine,  $\epsilon$ -N-acetyllysine, O-phosphoserine, N-acetylserine, N-formylmethionine, 3-methylhistidine, 5-hydroxylysine,  $\sigma$ -N-methylarginine, and other similar amino acids and imino acids (e.g., 4-hydroxyproline). In the polypeptide notation used herein, the left-hand direction is the amino terminal direction and the right-hand direction is the carboxy-terminal direction, in accordance with standard usage and convention.

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Similarly, unless specified otherwise, the left-hand end of single-stranded polynucleotide sequences is the 5' end; the left-hand direction of double-stranded polynucleotide sequences is referred to as the 5' direction. The direction of 5' to 3' addition of nascent RNA transcripts is referred to as the transcription direction; sequence regions on the DNA strand having the same sequence as the RNA and which are 5' to the 5' end of the RNA transcript are referred to as "upstream sequences"; sequence regions on the DNA strand having the same sequence as the RNA and which are 3' to the 3' end of the RNA transcript are referred to as "downstream sequences".

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As applied to polypeptides, the term "substantial identity" means that two peptide sequences, when optimally aligned, such as by the programs GAP or BESTFIT using default gap weights, share at least 80 percent sequence identity, preferably at least 90

percent sequence identity, more preferably at least 95 percent sequence identity, and most preferably at least 99 percent sequence identity. Preferably, residue positions which are not identical differ by conservative amino acid substitutions. Conservative amino acid substitutions refer to the interchangeability of residues having similar side chains. For example, a group of amino acids having aliphatic side chains is glycine, alanine, valine, leucine, and isoleucine; a group of amino acids having aliphatic-hydroxyl side chains is serine and threonine; a group of amino acids having amide-containing side chains is asparagine and glutamine; a group of amino acids having aromatic side chains is phenylalanine, tyrosine, and tryptophan; a group of amino acids having basic side chains is lysine, arginine, and histidine; and a group of amino acids having sulfur-containing side chains is cysteine and methionine. Preferred conservative amino acids substitution groups are: valine-leucine-isoleucine, phenylalanine-tyrosine, lysine-arginine, alanine-valine, glutamic-aspartic, and asparagine-glutamine.

As discussed herein, minor variations in the amino acid sequences of antibodies or immunoglobulin molecules are contemplated as being encompassed by the present invention, providing that the variations in the amino acid sequence maintain at least 75%, more preferably at least 80%, 90%, 95%, and most preferably 99%. In particular, conservative amino acid replacements are contemplated. Conservative replacements are those that take place within a family of amino acids that are related in their side chains. Genetically encoded amino acids are generally divided into families: (1) acidic=aspartate, glutamate; (2) basic=lysine, arginine, histidine; (3) non-polar=alanine, valine, leucine, isoleucine, proline, phenylalanine, methionine, tryptophan; and (4) uncharged polar=glycine, asparagine, glutamine, cysteine, serine, threonine, tyrosine. More preferred families are: serine and threonine are aliphatic-hydroxy family; asparagine and glutamine are an amide-containing family; alanine, valine, leucine and isoleucine are an aliphatic family; and phenylalanine, tryptophan, and tyrosine are an aromatic family. For example, it is reasonable to expect that an isolated replacement of a leucine with an isoleucine or valine, an aspartate with a glutamate, a threonine with a serine, or a similar replacement of an amino acid with a structurally related amino acid will not have a major effect on the binding or properties of the resulting molecule, especially if the replacement

does not involve an amino acid within a framework site. Whether an amino acid change results in a functional peptide can readily be determined by assaying the specific activity of the polypeptide derivative. Assays are described in detail herein. Fragments or analogs of antibodies or immunoglobulin molecules can be readily prepared by those of ordinary skill in the art. Preferred amino- and carboxy-termini of fragments or analogs occur near boundaries of functional domains. Structural and functional domains can be identified by comparison of the nucleotide and/or amino acid sequence data to public or proprietary sequence databases. Preferably, computerized comparison methods are used to identify sequence motifs or predicted protein conformation domains that occur in other proteins of known structure and/or function. Methods to identify protein sequences that fold into a known three-dimensional structure are known. Bowie et al. *Science* **253**:164 (1991). Thus, the foregoing examples demonstrate that those of skill in the art can recognize sequence motifs and structural conformations that may be used to define structural and functional domains in accordance with the invention.

Preferred amino acid substitutions are those which: (1) reduce susceptibility to proteolysis, (2) reduce susceptibility to oxidation, (3) alter binding affinity for forming protein complexes, (4) alter binding affinities, and (4) confer or modify other physicochemical or functional properties of such analogs. Analogs can include various muteins of a sequence other than the naturally-occurring peptide sequence. For example, single or multiple amino acid substitutions (preferably conservative amino acid substitutions) may be made in the naturally-occurring sequence (preferably in the portion of the polypeptide outside the domain(s) forming intermolecular contacts. A conservative amino acid substitution should not substantially change the structural characteristics of the parent sequence (e.g., a replacement amino acid should not tend to break a helix that occurs in the parent sequence, or disrupt other types of secondary structure that characterizes the parent sequence). Examples of art-recognized polypeptide secondary and tertiary structures are described in *Proteins, Structures and Molecular Principles* (Creighton, Ed., W. H. Freeman and Company, New York (1984)); *Introduction to Protein Structure* (C. Branden and J. Tooze, eds., Garland Publishing,

New York, N.Y. (1991)); and Thornton et al. *Nature* 354:105 (1991), which are each incorporated herein by reference.

The term "polypeptide fragment" as used herein refers to a polypeptide that has an amino-terminal and/or carboxy-terminal deletion, but where the remaining amino acid sequence is identical to the corresponding positions in the naturally-occurring sequence deduced, for example, from a full-length cDNA sequence. Fragments typically are at least 5, 6, 8 or 10 amino acids long, preferably at least 14 amino acids long, more preferably at least 20 amino acids long, usually at least 50 amino acids long, and even more preferably at least 70 amino acids long. The term "analog" as used herein refers to polypeptides which are comprised of a segment of at least 25 amino acids that has substantial identity to a portion of a deduced amino acid sequence and which has at least one of the following properties: (1) specific binding to a EGF-r, under suitable binding conditions, (2) ability to EGF binding to its receptor, or (3) ability to inhibit EGF-r expressing cell growth *in vitro* or *in vivo*. Typically, polypeptide analogs comprise a conservative amino acid substitution (or addition or deletion) with respect to the naturally-occurring sequence. Analogs typically are at least 20 amino acids long, preferably at least 50 amino acids long or longer, and can often be as long as a full-length naturally-occurring polypeptide.

Peptide analogs are commonly used in the pharmaceutical industry as non-peptide drugs with properties analogous to those of the template peptide. These types of non-peptide compound are termed "peptide mimetics" or "peptidomimetics". Fauchere, *J. Adv. Drug Res.* 15:29 (1986); Veber and Freidinger *TINS* p.392 (1985); and Evans et al. *J. Med. Chem.* 30:1229 (1987), which are incorporated herein by reference. Such compounds are often developed with the aid of computerized molecular modeling. Peptide mimetics that are structurally similar to therapeutically useful peptides may be used to produce an equivalent therapeutic or prophylactic effect. Generally, peptidomimetics are structurally similar to a paradigm polypeptide (i.e., a polypeptide that has a biochemical property or pharmacological activity), such as human antibody, but have one or more peptide linkages optionally replaced by a linkage selected from the

group consisting of: --CH<sub>2</sub>NH--, --CH<sub>2</sub>S--, --CH<sub>2</sub>-CH<sub>2</sub>--, --CH=CH--(cis and trans), --COCH<sub>2</sub>--, --CH(OH)CH<sub>2</sub>--, and --CH<sub>2</sub>SO--, by methods well known in the art. Systematic substitution of one or more amino acids of a consensus sequence with a D-amino acid of the same type (e.g., D-lysine in place of L-lysine) may be used to generate  
5 more stable peptides. In addition, constrained peptides comprising a consensus sequence or a substantially identical consensus sequence variation may be generated by methods known in the art (Rizo and Gierasch *Ann. Rev. Biochem.* 61:387 (1992), incorporated herein by reference); for example, by adding internal cysteine residues capable of forming intramolecular disulfide bridges which cyclize the peptide.

"Antibody" or "antibody peptide(s)" refer to an intact antibody, or a binding fragment thereof that competes with the intact antibody for specific binding. Binding fragments are produced by recombinant DNA techniques, or by enzymatic or chemical cleavage of intact antibodies. Binding fragments include Fab, Fab', F(ab')<sub>2</sub>, Fv, and  
15 single-chain antibodies. An antibody other than a "bispecific" or "bifunctional" antibody is understood to have each of its binding sites identical. An antibody substantially inhibits adhesion of a receptor to a counterreceptor when an excess of antibody reduces the quantity of receptor bound to counterreceptor by at least about 20%, 40%, 60% or 80%, and more usually greater than about 85% (as measured in an *in vitro* competitive  
20 binding assay).

The term "epitope" includes any protein determinant capable of specific binding to an immunoglobulin or T-cell receptor. Epitopic determinants usually consist of chemically active surface groupings of molecules such as amino acids or sugar side  
25 chains and usually have specific three dimensional structural characteristics, as well as specific charge characteristics. An antibody is said to specifically bind an antigen when the dissociation constant is ≤ 1 μM, preferably ≤ 100 nM and most preferably ≤ 10 nM.

The term "agent" is used herein to denote a chemical compound, a mixture of  
30 chemical compounds, a biological macromolecule, or an extract made from biological materials.



As used herein, the terms "label" or "labeled" refers to incorporation of a detectable marker, e.g., by incorporation of a radiolabeled amino acid or attachment to a polypeptide of biotinyl moieties that can be detected by marked avidin (e.g., streptavidin  
5 containing a fluorescent marker or enzymatic activity that can be detected by optical or colorimetric methods). In certain situations, the label or marker can also be therapeutic. Various methods of labeling polypeptides and glycoproteins are known in the art and may be used. Examples of labels for polypeptides include, but are not limited to, the following: radioisotopes or radionuclides (e.g.,  $^3\text{H}$ ,  $^{14}\text{C}$ ,  $^{15}\text{N}$ ,  $^{35}\text{S}$ ,  $^{90}\text{Y}$ ,  $^{99}\text{Tc}$ ,  $^{111}\text{In}$ ,  $^{125}\text{I}$ ,  
10  $^{131}\text{I}$ ), fluorescent labels (e.g., FITC, rhodamine, lanthanide phosphors), enzymatic labels (e.g., horseradish peroxidase,  $\beta$ -galactosidase, luciferase, alkaline phosphatase), chemiluminescent, biotinyl groups, predetermined polypeptide epitopes recognized by a secondary reporter (e.g., leucine zipper pair sequences, binding sites for secondary antibodies, metal binding domains, epitope tags). In some embodiments, labels are  
15 attached by spacer arms of various lengths to reduce potential steric hindrance.

The term "pharmaceutical agent or drug" as used herein refers to a chemical compound or composition capable of inducing a desired therapeutic effect when properly administered to a patient. Other chemistry terms herein are used according to  
20 conventional usage in the art, as exemplified by *The McGraw-Hill Dictionary of Chemical Terms* (Parker, S., Ed., McGraw-Hill, San Francisco (1985)), incorporated herein by reference).

The term "antineoplastic agent" is used herein to refer to agents that have the  
25 functional property of inhibiting a development or progression of a neoplasm in a human, particularly a malignant (cancerous) lesion, such as a carcinoma, sarcoma, lymphoma, or leukemia. Inhibition of metastasis is frequently a property of antineoplastic agents.

As used herein, "substantially pure" means an object species is the predominant  
30 species present (i.e., on a molar basis it is more abundant than any other individual species in the composition), and preferably a substantially purified fraction is a

composition wherein the object species comprises at least about 50 percent (on a molar basis) of all macromolecular species present. Generally, a substantially pure composition will comprise more than about 80 percent of all macromolecular species present in the composition, more preferably more than about 85%, 90%, 95%, and 99%. Most preferably, the object species is purified to essential homogeneity (contaminant species cannot be detected in the composition by conventional detection methods) wherein the composition consists essentially of a single macromolecular species.

The term patient includes human and veterinary subjects.

### Antibody Structure

The basic antibody structural unit is known to comprise a tetramer. Each tetramer is composed of two identical pairs of polypeptide chains, each pair having one "light" (about 25 kDa) and one "heavy" chain (about 50-70 kDa). The amino-terminal portion of each chain includes a variable region of about 100 to 110 or more amino acids primarily responsible for antigen recognition. The carboxy-terminal portion of each chain defines a constant region primarily responsible for effector function. Human light chains are classified as kappa and lambda light chains. Heavy chains are classified as mu, delta, gamma, alpha, or epsilon, and define the antibody's isotype as IgM, IgD, IgA, and IgE, respectively. Within light and heavy chains, the variable and constant regions are joined by a "J" region of about 12 or more amino acids, with the heavy chain also including a "D" region of about 10 more amino acids. *See generally, Fundamental Immunology* Ch. 7 (Paul, W., ed., 2nd ed. Raven Press, N.Y. (1989)) (incorporated by reference in its entirety for all purposes). The variable regions of each light/heavy chain pair form the antibody binding site.

Thus, an intact antibody has two binding sites. Except in bifunctional or bispecific antibodies, the two binding sites are the same.

The chains all exhibit the same general structure of relatively conserved framework regions (FR) joined by three hyper variable regions, also called complementarity determining regions or CDRs. The CDRs from the two chains of each pair are aligned by the framework regions, enabling binding to a specific epitope. From

N-terminal to C-terminal, both light and heavy chains comprise the domains FR1, CDR1, FR2, CDR2, FR3, CDR3 and FR4. The assignment of amino acids to each domain is in accordance with the definitions of Kabat *Sequences of Proteins of Immunological Interest* (National Institutes of Health, Bethesda, Md. (1987 and 1991)), or Chothia & Lesk *J. Mol. Biol.* **196**:901-917 (1987); Chothia et al. *Nature* **342**:878-883 (1989).

A bispecific or bifunctional antibody is an artificial hybrid antibody having two different heavy/light chain pairs and two different binding sites. Bispecific antibodies can be produced by a variety of methods including fusion of hybridomas or linking of Fab' fragments. See, e.g., Songsivilai & Lachmann *Clin. Exp. Immunol.* **79**: 315-321 (1990), Kostelny et al. *J. Immunol.* **148**:1547-1553 (1992). Production of bispecific antibodies can be a relatively labor intensive process compared with production of conventional antibodies and yields and degree of purity are generally lower for bispecific antibodies. Bispecific antibodies do not exist in the form of fragments having a single binding site (e.g., Fab, Fab', and Fv).

#### Humanization and Display Technologies

As was discussed above in connection with human antibody generation, there are advantages to producing antibodies with reduced immunogenicity. To a degree, this can be accomplished in connection with techniques of humanization and display techniques using appropriate libraries. It will be appreciated that murine antibodies or antibodies from other species can be humanized or primatized using techniques well known in the art. See e.g., Winter and Harris *Immunol Today* **14**:43-46 (1993) and Wright et al. *Crit. Reviews in Immunol.* **12**:125-168 (1992). The antibody of interest may be engineered by recombinant DNA techniques to substitute the CH1, CH2, CH3, hinge domains, and/or the framework domain with the corresponding human sequence (see WO 92/02190 and U.S. Patent Nos. 5,530,101, 5,585,089, 5,693,761, 5,693,792, 5,714,350, and 5,777,085). Also, the use of Ig cDNA for construction of chimeric immunoglobulin genes is known in the art (Liu et al. *P.N.A.S.* **84**:3439 (1987) and *J.Immunol.* **139**:3521 (1987)). mRNA is isolated from a hybridoma or other cell producing the antibody and used to produce cDNA. The cDNA of interest may be amplified by the polymerase chain reaction using specific primers (U.S. Pat. Nos. 4,683,195 and 4,683,202). Alternatively, a library is

made and screened to isolate the sequence of interest. The DNA sequence encoding the variable region of the antibody is then fused to human constant region sequences. The sequences of human constant regions genes may be found in Kabat et al. (1991) Sequences of Proteins of Immunological Interest, N.I.H. publication no. 91-3242. Human C region genes are readily available from known clones. The choice of isotype will be guided by the desired effector functions, such as complement fixation, or activity in antibody-dependent cellular cytotoxicity. Preferred isotypes are IgG1, IgG3 and IgG4. Either of the human light chain constant regions, kappa or lambda, may be used. The chimeric, humanized antibody is then expressed by conventional methods.

Antibody fragments, such as Fv, F(ab').sub.2 and Fab may be prepared by cleavage of the intact protein, e.g. by protease or chemical cleavage. Alternatively, a truncated gene is designed. For example, a chimeric gene encoding a portion of the F(ab')<sub>2</sub> fragment would include DNA sequences encoding the CH1 domain and hinge region of the H chain, followed by a translational stop codon to yield the truncated molecule.

Consensus sequences of H and L J regions may be used to design oligonucleotides for use as primers to introduce useful restriction sites into the J region for subsequent linkage of V region segments to human C region segments. C region cDNA can be modified by site directed mutagenesis to place a restriction site at the analogous position in the human sequence.

Expression vectors include plasmids, retroviruses, YACs, EBV derived episomes, and the like. A convenient vector is one that encodes a functionally complete human CH or CL immunoglobulin sequence, with appropriate restriction sites engineered so that any VH or VL sequence can be easily inserted and expressed. In such vectors, splicing usually occurs between the splice donor site in the inserted J region and the splice acceptor site preceding the human C region, and also at the splice regions that occur within the human CH exons. Polyadenylation and transcription termination occur at native chromosomal sites downstream of the coding regions. The resulting chimeric antibody may be joined to any strong promoter, including retroviral LTRs, e.g. SV-40 early promoter, (Okayama et al. *Mol. Cell. Bio.* 3:280 (1983)), Rous sarcoma virus LTR

(Gorman et al. *P.N.A.S.* **79**:6777 (1982)), and moloney murine leukemia virus LTR (Grosschedl et al. *Cell* **41**:885 (1985)); native Ig promoters, etc.

Further, human antibodies or antibodies from other species can be generated through display-type technologies, including, without limitation, phage display, retroviral display, ribosomal display, and other techniques, using techniques well known in the art and the resulting molecules can be subjected to additional maturation, such as affinity maturation, as such techniques are well known in the art. Wright and Harris, *supra.*, Hanes and Plutchau *PNAS USA* **94**:4937-4942 (1997) (ribosomal display), Parmley and Smith *Gene* **73**:305-318 (1988) (phage display), Scott *TIBS* **17**:241-245 (1992), Cwirla et al. *PNAS USA* **87**:6378-6382 (1990), Russel et al. *Nucl. Acids Research* **21**:1081-1085 (1993), Hoganboom et al. *Immunol. Reviews* **130**:43-68 (1992), Chiswell and McCafferty *TIBTECH* **10**:80-84 (1992), and U.S. Patent No. 5,733,743. If display technologies are utilized to produce antibodies that are not human, such antibodies can be humanized as described above.

Using these techniques, antibodies can be generated to EGF-R expressing cells, EGF-R itself, forms of EGF-R, epitopes or peptides thereof, and expression libraries thereto (*see e.g.* U.S. Patent No. 5,703,057) which can thereafter be screened as described above for the activities described above.

#### Additional Criteria for Antibody Therapeutics

As discussed herein, the function of the EGF-R antibody appears important to at least a portion of its mode of operation. By function, we mean, by way of example, the activity of the EGF-R antibody in operation and activity in the costimulatory pathway of EGF-R. Accordingly, in certain respects, it may be desirable in connection with the generation of antibodies as therapeutic candidates against EGF-R that the antibodies be capable of fixing complement and participating in CDC. There are a number of isotypes of antibodies that are capable of the same, including, without limitation, the following: murine IgM, murine IgG2a, murine IgG2b, murine IgG3, human IgM, human IgG1, and human IgG3. It will be appreciated that antibodies that are generated need not initially possess such an isotype but, rather, the antibody as generated can possess any isotype and the antibody can be isotype switched thereafter using conventional techniques that are

well known in the art. Such techniques include the use of direct recombinant techniques (see e.g., U.S. Patent No. 4,816,397), cell-cell fusion techniques (see e.g., U.S. Patent Application No. 08/730,639, filed October 11, 1996), among others.

In the cell-cell fusion technique, a myeloma or other cell line is prepared that possesses a heavy chain with any desired isotype and another myeloma or other cell line is prepared that possesses the light chain. Such cells can, thereafter, be fused and a cell line expressing an intact antibody can be isolated.

By way of example, the E763 antibody discussed herein is a human anti-EGF-R IgG2 antibody. If such antibody possessed desired binding to the EGF-R molecule, it could be readily isotype switched to generate a human IgM, human IgG1, or human IgG3 isotype, while still possessing the same variable region (which defines the antibody's specificity and some of its affinity). Such molecule would then be capable of fixing complement and participating in CDC.

Accordingly, as antibody candidates are generated that meet desired "structural" attributes as discussed above, they can generally be provided with at least certain of the desired "functional" attributes through isotype switching.

#### **Design and Generation of Other Therapeutics**

In accordance with the present invention and based on the activity of the antibodies that are produced and characterized herein with respect to EGF-R, the design of other therapeutic modalities beyond antibody moieties is facilitated. Such modalities include, without limitation, advanced antibody therapeutics, such as bispecific antibodies, immunotoxins, and radiolabeled therapeutics, generation of peptide therapeutics, gene therapies, particularly intrabodies, antisense therapeutics, and small molecules.

In connection with the generation of advanced antibody therapeutics, where complement fixation is a desirable attribute, it may be possible to sidestep the dependence on complement for cell killing through the use of bispecifics, immunotoxins, or radiolabels, for example.

For example, in connection with bispecific antibodies, bispecific antibodies can be generated that comprise (i) two antibodies one with a specificity to EGF-R and another to a second molecule that are conjugated together, (ii) a single antibody that has one

chain specific to EGF-R and a second chain specific to a second molecule, or (iii) a single chain antibody that has specificity to EGF-R and the other molecule. Such bispecific antibodies can be generated using techniques that are well known for example, in connection with (i) and (ii) *see e.g.*, Fanger et al. *Immunol Methods* 4:72-81 (1994) and Wright and Harris, *supra.* and in connection with (iii) *see e.g.*, Traunecker et al. *Int. J. Cancer (Suppl.)* 7:51-52 (1992). In each case, the second specificity can be made to the heavy chain activation receptors, including, without limitation, CD16 or CD64 (*see e.g.*, Deo et al. 18:127 (1997)) or CD89 (*see e.g.*, Valerius et al. *Blood* 90:4485-4492 (1997)). Bispecific antibodies prepared in accordance with the foregoing would be likely to kill cells expressing EGF-R, and particularly those cells in which the EGF-R antibodies of the invention are effective.

In connection with immunotoxins, antibodies can be modified to act as immunotoxins utilizing techniques that are well known in the art. *See e.g.*, Vitetta *Immunol Today* 14:252 (1993). *See also* U.S. Patent No. 5,194,594. In connection with the preparation of radiolabeled antibodies, such modified antibodies can also be readily prepared utilizing techniques that are well known in the art. *See e.g.*, Junghans et al. in *Cancer Chemotherapy and Biotherapy* 655-686 (2d edition, Chafner and Longo, eds., Lippincott Raven (1996)). *See also* U.S. Patent Nos. 4,681,581, 4,735,210, 5,101,827, 5,102,990 (RE 35,500), 5,648,471, and 5,697,902. Each of immunotoxins and radiolabeled molecules would be likely to kill cells expressing EGF-R, and particularly those cells in which the antibodies of the invention are effective.

In connection with the generation of therapeutic peptides, through the utilization of structural information related to EGF-R and antibodies thereto, such as the antibodies of the invention (as discussed below in connection with small molecules) or screening of peptide libraries, therapeutic peptides can be generated that are directed against EGF-R. Design and screening of peptide therapeutics is discussed in connection with Houghten et al. *Biotechniques* 13:412-421 (1992), Houghten *PNAS USA* 82:5131-5135 (1985), Pinalla et al. *Biotechniques* 13:901-905 (1992), Blake and Litzi-Davis *BioConjugate Chem.* 3:510-513 (1992). Immunotoxins and radiolabeled molecules can also be prepared, and in a similar manner, in connection with peptidic moieties as discussed above in connection with antibodies.

Assuming that the EGF-R molecule (or a form, such as a splice variant or alternate form) is functionally active in a disease process, it will also be possible to design gene and antisense therapeutics thereto through conventional techniques. Such modalities can be utilized for modulating the function of EGF-R. In connection therewith the antibodies of the present invention facilitate design and use of functional assays related thereto. A design and strategy for antisense therapeutics is discussed in detail in International Patent Application No. WO 94/29444. Design and strategies for gene therapy are well known. However, in particular, the use of gene therapeutic techniques involving intrabodies could prove to be particularly advantageous. See e.g., Chen et al. *Human Gene Therapy* 5:595-601 (1994) and Marasco *Gene Therapy* 4:11-15 (1997). General design of and considerations related to gene therapeutics is also discussed in International Patent Application No. WO 97/38137.

Small molecule therapeutics can also be envisioned in accordance with the present invention. Drugs can be designed to modulate the activity of EGF-R based upon the present invention. Knowledge gleaned from the structure of the EGF-R molecule and its interactions with other molecules in accordance with the present invention, such as the antibodies of the invention, and others can be utilized to rationally design additional therapeutic modalities. In this regard, rational drug design techniques such as X-ray crystallography, computer-aided (or assisted) molecular modeling (CAMP), quantitative or qualitative structure-activity relationship (QSAR), and similar technologies can be utilized to focus drug discovery efforts. Rational design allows prediction of protein or synthetic structures which can interact with the molecule or specific forms thereof which can be used to modify or modulate the activity of EGF-R. Such structures can be synthesized chemically or expressed in biological systems. This approach has been reviewed in Capsey et al. *Genetically Engineered Human Therapeutic Drugs* (Stockton Press, NY (1988)). Further, combinatorial libraries can be designed and synthesized and used in screening programs, such as high throughput screening efforts.

#### **Therapeutic Administration and Formulations**

It will be appreciated that administration of therapeutic entities in accordance with the invention will be administered with suitable carriers, excipients, and other agents that



are incorporated into formulations to provide improved transfer, delivery, tolerance, and the like. A multitude of appropriate formulations can be found in the formulary known to all pharmaceutical chemists: Remington's Pharmaceutical Sciences (15<sup>th</sup> ed, Mack Publishing Company, Easton, PA (1975)), particularly Chapter 87 by Blaug, Seymour, therein. These formulations include, for example, powders, pastes, ointments, jellies, waxes, oils, lipids, lipid (cationic or anionic) containing vesicles (such as Lipofectin<sup>TM</sup>), DNA conjugates, anhydrous absorption pastes, oil-in-water and water-in-oil emulsions, emulsions carbowax (polyethylene glycols of various molecular weights), semi-solid gels, and semi-solid mixtures containing carbowax. Any of the foregoing mixtures may be appropriate in treatments and therapies in accordance with the present invention, provided that the active ingredient in the formulation is not inactivated by the formulation and the formulation is physiologically compatible and tolerable with the route of administration.

#### **Preparation of Antibodies**

Antibodies in accordance with the invention are preferably prepared through the utilization of a transgenic mouse that has a substantial portion of the human antibody producing genome inserted but that is rendered deficient in the production of endogenous, murine, antibodies. Such mice, then, are capable of producing human immunoglobulin molecules and antibodies and are deficient in the production of murine immunoglobulin molecules and antibodies. Technologies utilized for achieving the same are disclosed in the patents, applications, and references disclosed in the Background, herein. In particular, however, a preferred embodiment of transgenic production of mice and antibodies therefrom is disclosed in U.S. Patent Application Serial No. 08/759,620, filed December 3, 1996, the disclosure of which is hereby incorporated by reference. *See also* Mendez et al. *Nature Genetics* **15**:146-156 (1997), the disclosure of which is hereby incorporated by reference.

Through use of such technology, we have produced fully human monoclonal antibodies to a variety of antigens. Essentially, we immunize XenoMouse<sup>TM</sup> lines of mice with an antigen of interest, recover lymphatic cells (such as B-cells) from the mice that express antibodies, fuse such recovered cells with a myeloid-type cell line to prepare

immortal hybridoma cell lines, and such hybridoma cell lines are screened and selected to identify hybridoma cell lines that produce antibodies specific to the antigen of interest. We utilized these techniques in accordance with the present invention for the preparation of antibodies specific to EGF-r. Herein, we describe the production of eight hybridoma  
5 cell lines that produce antibodies specific to EGF-r. Further, we provide a characterization of the antibodies produced by such cell lines, including nucleotide and amino acid sequence analyses of the heavy and light chains of such antibodies.

The hybridoma cell lines discussed herein are designated E1.1, E2.4, E2.5, E6.2, E6.4, E2.11, E6.3, and E7.6.3. Each of the antibodies produced by the aforementioned  
10 cell lines are fully human IgG2 heavy chains with human kappa light chains. In general, antibodies in accordance with the invention possess very high affinities, typically possessing Kd's of from about  $10^{-9}$  through about  $10^{-11}$  M, when measured by either solid phase and solution phase.

As will be appreciated, antibodies in accordance with the present invention can be  
15 expressed in cell lines other than hybridoma cell lines. Sequences encoding particular antibodies can be used for transformation of a suitable mammalian host cell. Transformation can be by any known method for introducing polynucleotides into a host cell, including, for example packaging the polynucleotide in a virus (or into a viral vector) and transducing a host cell with the virus (or vector) or by transfection procedures  
20 known in the art, as exemplified by U.S. Patent Nos. 4,399,216, 4,912,040, 4,740,461, and 4,959,455 (which patents are hereby incorporated herein by reference). The transformation procedure used depends upon the host to be transformed. Methods for introduction of heterologous polynucleotides into mammalian cells are well known in the art and include dextran-mediated transfection, calcium phosphate precipitation, polybrene  
25 mediated transfection, protoplast fusion, electroporation, encapsulation of the polynucleotide(s) in liposomes, and direct microinjection of the DNA into nuclei.

Mammalian cell lines available as hosts for expression are well known in the art and include many immortalized cell lines available from the American Type Culture Collection (ATCC), including but not limited to Chinese hamster ovary (CHO) cells,  
30 HeLa cells, baby hamster kidney (BHK) cells, monkey kidney cells (COS), human hepatocellular carcinoma cells (e.g., Hep G2), and a number of other cell lines. Cell lines

of particular preference are selected through determining which cell lines have high expression levels and produce antibodies with constitutive EGF-r binding properties.

Antibodies in accordance with the present invention are potent inhibitors of EGF and TGF- $\alpha$  binding to its receptor, EGF-r. Such results are discussed in Examples 5 and 6 and shown in Figures 35 through 38. Consistent with such results, and as shown in Figure 39 and discussed in connection with Example 7, antibodies in accordance with the present invention also inhibit the growth of certain human carcinoma cell lines *in vitro*. Antibodies in accordance with the present invention also prevent the growth of certain human carcinomas *in vivo*. Such results are shown in Figures 40 through 42 and discussed in connection with Example 8. In Example 9, we demonstrate that antibodies in accordance with the present invention, at least in combination with an antineoplastic agent, will eradicate an existing tumor in an animal. Moreover, antibody therapy, as a monotherapy (i.e., not in combination with an antineoplastic agent) appears possible in accordance with the antibodies in accordance with the present invention, where it did not appear possible in the prior art, for example through the use of the antibody 225. Such results are discussed in connection with Example 9 and shown in Figures 43-44.

The results demonstrated in accordance with the present invention indicate that antibodies in accordance with the present invention possess certain qualities that may make the present antibodies more efficacious than current therapeutic antibodies against EGF-r, e.g., 225. The 225 antibody in clinical development by Imclone is a chimeric IgG1 antibody with an affinity of  $2 \times 10^{-10}$  M, which, while appearing efficacious in combination therapy with an antineoplastic agent, does not appear very efficacious in monotherapy. In contrast, antibodies in accordance with the invention (and particularly the E2.5 and E7.6.3 antibodies of the invention) have significantly higher affinities ( $E2.5: 1.6 \times 10^{-11}$  M;  $E7.6.3: 5.7 \times 10^{-11}$  M) and appear efficacious in monotherapy in addition to combination therapy with an antineoplastic agent and at lower doses than with the C225 antibody.

## EXAMPLES

The following examples, including the experiments conducted and results achieved are provided for illustrative purposes only and are not to be construed as limiting upon the present invention.

5

### EXAMPLE 1

#### Generation of Anti-EGF-r-Antibody Producing Hybridomas

Antibodies of the invention were prepared, selected, and assayed in accordance  
10 with the present Example.

**Immunization and hybridoma generation:** XenoMice (8 to 10 weeks old) were immunized intraperitoneally with  $2 \times 10^7$  A431 (ATCC CRL-7907) cells resuspended in phosphate buffered saline (PBS). This dose was repeated three times. Four days before  
15 fusion, the mice received a final injection of cells in PBS. Spleen and lymph node lymphocytes from immunized mice were fused with the non-secretory myeloma NSO-bcl2 line (Ray and Diamond, 1994) and were subjected to HAT selection as previously described (Galfre and Milstein, 1981). A large panel of hybridomas all secreting EGF-r specific human IgG<sub>2</sub>κ (as detected below) antibodies were recovered.  
20 As described in Example 2, certain of the antibodies selected from the panel were selected for their ability to compete with the 225 antibody. EGFr-specific hybridomas were identified by ELISA using purified A431 cell membrane-derived EGFr protein (Sigma, St. Louis, MO, E3641). Large quantities of antibodies were purified from ascites, derived from SCID mice inoculated with antibody-producing hybridomas, using  
25 protein-A affinity chromatography.

**ELISA assay:** ELISA for determination of antigen-specific antibodies in mouse serum and in hybridoma supernatants was carried out as described (Coligan et al., 1994) using affinity-purified EGF-r from A431 cells (Sigma, E-3641) to capture the antibodies.  
30 The concentrations of human and mouse immunoglobulins were determined using the following capture antibodies: rabbit anti-human IgG (Southern Biotechnology, 6145-01),

goat anti-human Ig $\kappa$  (Vector Laboratories, AI-3060), mouse anti-human IgM (CGI/ATCC, HB-57), for human gamma, kappa, and mu Ig, respectively, and goat anti-mouse IgG (Caltag, M 30100), goat anti-mouse Ig $\kappa$  (Southern Biotechnology, 1050-01), goat anti-mouse IgM (Southern Biotechnology, 1020-01), and goat anti-mouse  
5  $\lambda$  (Southern Biotechnology, 1060-01) to capture mouse gamma, kappa, mu, and lambda Ig, respectively. The detection antibodies used in ELISA experiments were goat anti-mouse IgG-HRP (Caltag, M-30107), goat anti-mouse Ig $\kappa$ -HRP (Caltag, M 33007), mouse anti-human IgG2-HRP (Southern Biotechnology, 9070-05), mouse anti-human IgM-HRP (Southern Biotechnology, 9020-05), and goat anti-human kappa-biotin  
10 (Vector, BA-3060). Standards used for quantitation of human and mouse Ig were: human IgG $_{2\kappa}$  (Calbiochem, 400122), human IgM $\kappa$  (Cappel, 13000), mouse IgG $\kappa$  (Cappel 55939), mouse IgM $\kappa$  (Sigma, M-3795), and mouse IgG $_{3\lambda}$  (Sigma, M-9019).

**Determination of affinity constants of fully human Mabs by BIAcore:** Affinity  
15 measurement of purified human monoclonal antibodies, Fab fragments, or hybridoma supernatants by plasmon resonance was carried out using the BIAcore 2000 instrument, using general procedures outlined by the manufacturers.

Based upon the general procedures outlined by the manufacture, kinetic analyses of the antibodies were performed using antigens immobilized onto the sensor surface at a  
20 low density. Soluble EGF-r purified from A431 cell membranes (Sigma, E-3641) or the recombinant extracellular domain of EGFr (20) immobilized onto the sensor surface was generally used at a surface density of between about 228 and 303 RU. The dissociation (kd or k<sub>off</sub>) and association (ka or k<sub>on</sub>) rates were determined using the software provided by the manufacturer (BIA evaluation 2.1). Affinity measurements of antibody in solution  
25 were carried out as described (18).

**Determination of affinity constants in solution by ELISA:** In order to determine antibody binding affinity in solution by ELISA, various concentrations of the monoclonal antibodies to EGF-r were incubated with EGF-r at a constant concentration until  
30 equilibrium was reached. Thereafter, the concentration of the free EGF-r in the reaction solution was determined by an indirect ELISA. Accordingly, the monoclonal antibodies

at concentrations of between  $3.0 \times 10^{-11}$  M through  $2.7 \times 10^{-7}$  M were incubated with EGF-r at a concentration of  $4 \times 10^{-10}$  M in 200  $\mu$ l of PBS with 0.5% BSA for 15 hrs at room temperature. After incubation, 70  $\mu$ l of each mixture was transferred into the wells of 96-well microtiter plates previously coated with the same monoclonal antibody (100  $\mu$ l/well, at 2  $\mu$ g/ml in coating buffer) and incubated for 15 min at room temperature. After washing with washing buffer, the EGF-r retained on the plate was detected by mouse anti-EGF-r-HRP, which binds to the carbohydrate of the EGF-r protein. The concentration of EGF-r was calculated against its standard and used for the calculation of bound and free antibodies in the original antigen-antibody reaction solution. The binding affinity of each monoclonal antibody to EGF-r was calculated using Scatchard analysis.

**Receptor binding assays:** The EGF receptor binding assay was carried out with A431 cells or SW948 cells ( $0.4 \times 10^6$  cells per well) which were incubated with varying concentrations of antibodies in PBS binding buffer for 30 minutes at 4°C. 0.1 nM [ $^{125}$ I]EGF (Amersham, IM-196) or [ $^{125}$ I]TGF- $\alpha$  (Amersham) was added to each well, and the plates were incubated for 90 min at 4°C. The plates were washed five times, air-dried and counted in a scintillation counter. Anti-EGF-r mouse antibodies 225 and 528 (Calbiochem) were used as controls.

EGFr binding assays were also conducted using human recombinant [ $^{125}$ I]EGF or [ $^{125}$ I]TGF $\alpha$  (Amersham Life Science, Arlington Heights, IL) as previously described (Mendez). Briefly, human carcinoma cells growing in Dulbecco's modified Eagle's medium (DMEM) containing 10% fetal calf serum (FCS) were detached with trypsin, washed with phosphate-buffered saline (PBS) and resuspended in binding buffer (PBS containing 0.1% bovine serum albumin (Sigma) and 0.02% NaN<sub>3</sub>), and distributed in 96-well Multiscreen filter plates (Millipore) at  $4.0 \times 10^5$  cells/well in 50  $\mu$ l. Fully human anti-EGFr or control anti-KLH MAbs, control human myeloma IgG<sub>2</sub> $\kappa$  Mab (Calbiochem, Cambridge, MA, 400122), or mouse anti-EGFr 225 or 528 MAbs (Calbiochem, GR13 or GR14), diluted in binding buffer, were added in 50  $\mu$ l aliquots per well. Plates were incubated for 30 min at 4°C. [ $^{125}$ I]-EGF or [ $^{125}$ I]TGF $\alpha$  (0.1  $\mu$ Ci/well in 50  $\mu$ l) was added and the plates were further incubated for 90 min at 4°C. After incubation, the plates were washed five times with binding buffer, air-dried and counted in a scintillation counter.

The percentage of specifically bound [ $^{125}$ I]EGF or [ $^{125}$ I]TGF $\alpha$  was calculated as the mean cpm detected in the presence of antibody divided by cpm detected in the presence of buffer only. The binding data obtained was fitted using GraphPad Prism (GraphPad Software, Inc. San Diego, CA).

5

## **EXAMPLE 2**

### **Co-Selection of Anti-EGF-r-Antibodies with the m225 Antibody**

As discussed above, the antibody 225 has been demonstrated to possess a high  
10 affinity for, and effective inhibition of the binding of EGF and TGF- $\alpha$  to EGF-r. Thus, we expected that if we selected human antibodies against EGF-r that are prepared in accordance with the present invention with the antibody 225 in a competition assay, antibodies to the same or similar epitope to which the 225 antibody binds would be selected.

15 Accordingly, we conducted BIAcore assays in which soluble EGF-r purified from A431 cell membranes (Sigma, E-3641) was pretreated with the antibody 225 and thereafter treated with antibodies of the invention. Where antibodies of the invention did not bind, such antibodies of the invention were screened for binding affinity as described above.

20 In the following Table, affinity measurements for certain of the antibodies selected in this manner are provided:

**TABLE I**

<b>Hybridoma</b>	<b>Solid Phase (by BIAcore)</b>				<b>In Solution By ELISA</b>
	<b><math>k_{on}</math> (<math>M^{-1}S^{-1}</math>)</b>	<b><math>K_{off}</math> (<math>S^{-1}</math>)</b>	<b><math>K_D</math> (<math>M</math>)</b>	<b>Surface Density [RU]</b>	<b><math>K_D</math> (<math>M</math>)</b>
<b>E1.1</b>	$2.3 \times 10^6$	$1.7 \times 10^{-4}$	$7.6 \times 10^{-11}$	228	$1.1 \times 10^{-10}$
<b>E2.4</b>	$2.8 \times 10^6$	$9.78 \times 10^{-5}$	$3.5 \times 10^{-11}$	818	$1.1 \times 10^{-10}$
<b>E2.5</b>	$1.2 \times 10^6$	$1.9 \times 10^{-5}$	$1.6 \times 10^{-11}$	228	$3.6 \times 10^{-10}$
<b>E2.11</b>	$1.9 \times 10^6$	$3.0 \times 10^{-4}$	$1.6 \times 10^{-10}$	228	$1.1 \times 10^{-10}$
<b>E7.6.3</b>	$2.0 \times 10^6$	$1.1 \times 10^{-4}$	$5.7 \times 10^{-11}$	228	ND

As will be observed, antibodies selected in this manner possess exceptionally high  
affinities and binding constants.

**EXAMPLE 3**

**Structures of Anti-EGF-r-Antibodies Prepared in Accordance with the Invention**

In the following discussion, structural information related to antibodies prepared  
in accordance with the invention is provided.

In order to analyze structures of antibodies produced in accordance with the  
invention, we cloned genes encoding the heavy and light chain fragments out of the  
particular hybridoma. Gene cloning and sequencing was accomplished as follows:

Poly(A)<sup>+</sup> mRNA was isolated from approximately  $2 \times 10^5$  hybridoma cells  
derived from immunized XenoMice using a Fast-Track kit (Invitrogen). The generation  
of random primed cDNA was followed by PCR. Human V<sub>H</sub> or human V<sub>κ</sub> family specific  
variable region primers (Marks et. al., 1991) or a universal human V<sub>H</sub> primer, MG-30  
(CAGGTGCAGCTGGAGCAGTCIGG) was used in conjunction with primers specific  
for the human Cγ2 constant region (MG-40d;  
5'-GCTGAGGGAGTAGAGTCCTGAGGA-3') or Cκ constant region (hκP2; as  
previously described in Green et al., 1994). Sequences of human Mabs-derived heavy  
and kappa chain transcripts from hybridomas were obtained by direct sequencing of PCR  
products generated from poly(A)<sup>+</sup> RNA using the primers described above. PCR



products were also cloned into pCRII using a TA cloning kit (Invitrogen) and both strands were sequenced using Prism dye-terminator sequencing kits and an ABI 377 sequencing machine. All sequences were analyzed by alignments to the "V BASE sequence directory" (Tomlinson et al., MRC Centre for Protein Engineering, Cambridge, UK) using MacVector and Geneworks software programs.

### Hybridoma E1.1

The antibody secreted by the hybridoma E1.1 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E1.1 evidenced the following gene utilization:

V<sub>H</sub> – 4-31

D – 2

J<sub>H</sub> – 5

V<sub>κ</sub> – 018

J<sub>κ</sub> – 4

As reported in the V BASE sequence directory, the amino acid sequence encoded by the V<sub>H</sub> 4-31 gene was determined to be:

VSGGSISSGGYYWSWIRQHPGKLEWIGYIYSGSTYYNPSLKSRTISVDTSKNQFSLKLSSVTAADTAVYYCAR  
(SEQ ID NO:1)

As reported in the V BASE sequence directory, the amino acid sequence encoded by the V<sub>κ</sub> (018) gene was determined to be:

TITCQASQDISNYLNWYQKPGKAPKLLIYDASNLETGVPSRFSGSGSGTDFTFTISSLPEDIATYYCQYDNLP  
(SEQ ID NO:2)

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 1-4. Figure 1 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E1.1. Differences between the sequence encoded by heavy chain variable gene 4-31 and the sequence of the E1.1 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 2 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 1 that was cloned out of the hybridoma E1.1.

Figure 3 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E1.1. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E1.1 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 4 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 3 that was cloned out of the hybridoma E1.1.

#### **Hybridoma E2.4**

The antibody secreted by the hybridoma E2.4 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E2.4 evidenced the following gene utilization:

V<sub>H</sub> - 4-31

D - A1/A4

J<sub>H</sub> - 3

V $\kappa$  - 018

J $\kappa$  - 4

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 5-8. Figure 5 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E2.4. Differences between the sequence encoded by heavy chain variable gene 4-31 and the sequence of the E2.4 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 6 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 5 that was cloned out of the hybridoma E2.4.

Figure 7 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E2.4. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E2.4 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 8 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 7 that was cloned out of the hybridoma E2.4.

### **Hybridoma E2.5**

The antibody secreted by the hybridoma E2.5 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V $\kappa$  and J $\kappa$  gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E2.5 evidenced the following gene utilization:

V<sub>H</sub> - 4-31

D – XP1/21-10

J<sub>H</sub> – 4

V<sub>κ</sub> – 018

J<sub>κ</sub> – 2

5

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 9-12. Figure 9 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E2.5. Differences between the sequence encoded by heavy chain variable gene 4-31 and the sequence of the E2.5 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

10

Figure 10 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 9 that was cloned out of the hybridoma E2.5.

15

Figure 11 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E2.5. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E2.5 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

20

Figure 12 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 11 that was cloned out of the hybridoma E2.5.

### **Hybridoma E6.2**

25

The antibody secreted by the hybridoma E6.2 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E6.2 evidenced the following gene utilization:

30

V<sub>H</sub> – 4-31  
D – ? (CNTCCCTT)  
J<sub>H</sub> – 6  
5 V<sub>κ</sub> – 018  
J<sub>κ</sub> – 1

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 13-16. Figure 13 is an amino acid  
10 sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E6.2. Differences between the sequence encoded by heavy chain variable gene 4-31 and the sequence of the E6.2 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

15 Figure 14 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 13 that was cloned out of the hybridoma E6.2.

Figure 15 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E6.2. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E6.2 secreted light  
20 chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 16 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 15 that was cloned out of the hybridoma E6.2.

#### **Hybridoma E6.4**

The antibody secreted by the hybridoma E6.4 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their  
30 amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular

gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E6.4 evidenced the following gene utilization:

V<sub>H</sub> – 4-31

5 D – A1/A4

J<sub>H</sub> – 4

V<sub>κ</sub> – 012

J<sub>κ</sub> – 2

10 As reported in the V BASE sequence directory, the amino acid sequence encoded by the V<sub>κ</sub> 012 gene was determined to be:

TITCRASQSISSYLNWYQQKPGKAPKLLIYAASSLQSGVPSRFSGSGSGTDFTLTISLQPEDFATYYCQSYSTP  
(SEQ ID NO:36)

15 Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 17-20. Figure 17 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E6.4. Differences between the sequence encoded by heavy chain variable gene 4-31 and the sequence of the E6.4 secreted heavy chain are indicated in bold and enlarged font.  
20 The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 18 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 17 that was cloned out of the hybridoma E6.4.

25 Figure 19 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E6.4. Differences between the sequence encoded by light chain variable gene 012 and the sequence of the E6.4 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

30 Figure 20 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 19 that was cloned out of the hybridoma E6.4.

### Hybridoma E2.11

The antibody secreted by the hybridoma E2.11 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E2.11 evidenced the following gene utilization:

V<sub>H</sub> - 4-61

D - XP1/21-10

J<sub>H</sub> - 4

V<sub>κ</sub> - 018

J<sub>κ</sub> - 4

As reported in the V BASE sequence directory, the amino acid sequence encoded by the V<sub>H</sub> 4-61 gene was determined to be:

VSGGSVSSGSYYWSWIRQPPGKLEWIGYIYSGSTNYPNPSLKSRTISVDTSKNQFSLKLSSVTAADTAVYYCAR  
(SEQ ID NO:23)

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 21-24. Figure 21 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E2.11. Differences between the sequence encoded by heavy chain variable gene 4-61 and the sequence of the E2.11 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 22 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 21 that was cloned out of the hybridoma E2.11.

Figure 23 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E2.11. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E2.11 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 24 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 23 that was cloned out of the hybridoma E2.11.

### **Hybridoma E6.3**

The antibody secreted by the hybridoma E6.3 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E6.3 evidenced the following gene utilization:

V<sub>H</sub> – 4-61

D – 1-2rc

J<sub>H</sub> – 4

V<sub>κ</sub> – 018

J<sub>κ</sub> – 4

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 25-28. Figure 25 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E6.3. Differences between the sequence encoded by heavy chain variable gene 4-61 and the sequence of the E6.3 secreted heavy chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.



Figure 26 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 25 that was cloned out of the hybridoma E6.3.

Figure 27 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E6.3. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E6.3 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 28 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 27 that was cloned out of the hybridoma E6.3.

### **Hybridoma E7.6.3**

The antibody secreted by the hybridoma E7.6.3 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E7.6.3 evidenced the following gene utilization:

V<sub>H</sub> - 4-61

D - XP4rc-XP1

J<sub>H</sub> - 3

V<sub>κ</sub> - 018

J<sub>κ</sub> - 4

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 29-32. Figure 29 is an amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E7.6.3. Differences between the sequence encoded by heavy chain variable gene 4-61 and the sequence of the E7.6.3 secreted heavy chain are indicated in bold and enlarged

font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are each indicated by double underlining.

Figure 30 is a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule of Figure 29 that was cloned out of the hybridoma E7.6.3.

5 Figure 31 is an amino acid sequence of a kappa light chain immunoglobulin molecule that is secreted by the hybridoma E7.6.3. Differences between the sequence encoded by light chain variable gene 018 and the sequence of the E7.6.3 secreted light chain are indicated in bold and enlarged font. The contiguous sequence from CDR1 through CDR3 is indicated by underlining and CDR1, CDR2, and CDR3 sequences are  
10 each indicated by double underlining.

Figure 32 is a nucleotide sequence of the cDNA encoding the kappa light chain immunoglobulin molecule of Figure 31 that was cloned out of the hybridoma E7.6.3.

The following antibodies that are secreted by hybridomas E20.1, E20.3, E20.8.1, E20.11.2, E20.18, E20.19.2, E20.21, E20.22, E7.5.2, and E7.8.2 bind to EGFr, but do not  
15 compete with E7.6.3 for binding to EGFr.

### **Hybridoma E20.1**

The antibody secreted by the hybridoma E20.1 comprises a human IgG2 antibody  
20 having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma  
25 E20.1 evidenced the following gene utilization:

V<sub>H</sub> – DP-50 (3-33)

D – DXP4

J<sub>H</sub> – JH4b

30 V<sub>κ</sub> – LFVK431

J<sub>κ</sub> – JK3

The amino acid sequences encoded by the V<sub>H</sub> DP-50 (3-33) gene and V<sub>κ</sub> LFVK431 gene are available in the V BASE sequence directory.

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 57-58. Figure 57 shows a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule that was cloned out of the hybridoma E20.1 and the corresponding amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E20.1.

Figure 58 shows a nucleotide sequence of the cDNA encoding the light chain immunoglobulin molecule that was cloned out of the hybridoma E20.1 and the corresponding amino acid sequence of a light chain immunoglobulin molecule that is secreted by the hybridoma E20.1.

### **Hybridoma E20.3**

The antibody secreted by the hybridoma E20.3 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E20.3 evidenced the following gene utilization:

V<sub>H</sub> – DP15 (1-8)

D – DN1

J<sub>H</sub> – JH4b

V<sub>κ</sub> – B3/DPK24

J<sub>κ</sub> – JK4

The amino acid sequences encoded by the V<sub>H</sub> DP-15 (1-8) gene and V<sub>κ</sub> V<sub>κ</sub> B3/DPK24 gene are available in the V BASE sequence directory.

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 59-60. Figure 59 shows a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule that was cloned out of the hybridoma E20.3 and the corresponding amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E20.3.

Figure 60 shows a nucleotide sequence of the cDNA encoding the light chain immunoglobulin molecule that was cloned out of the hybridoma E20.3 and the corresponding amino acid sequence of a light chain immunoglobulin molecule that is secreted by the hybridoma E20.3.

#### **Hybridoma E20.8.1**

The antibody secreted by the hybridoma E20.8.1 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E20.8.1 evidenced the following gene utilization:

V<sub>H</sub> – DP-50 (3-33)

D – D1/D21-9/D23-7

J<sub>H</sub> – JH4b

V<sub>κ</sub> – B3/DPK24

J<sub>κ</sub> – JK2

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 61-62. Figure 61 shows a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule that was cloned out of the hybridoma E20.8.1 and the corresponding amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E20.8.1.

Figure 62 shows a nucleotide sequence of the cDNA encoding the light chain immunoglobulin molecule that was cloned out of the hybridoma E20.8.1 and the corresponding amino acid sequence of a light chain immunoglobulin molecule that is secreted by the hybridoma E20.8.1.

5

**Hybridoma E20.11.2**

The antibody secreted by the hybridoma 20.11.2 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E20.11.2 evidenced the following gene utilization:

10

V<sub>H</sub> – DP-50 (3-33)

D – DIR5

J<sub>H</sub> – JH4b

V<sub>κ</sub> – B3/DPK24

J<sub>κ</sub> – JK1

15

20

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 63-64. Figure 63 shows a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule that was cloned out of the hybridoma E20.11.2 and the corresponding amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E20.11.2.

25

Figure 64 shows a nucleotide sequence of the cDNA encoding the light chain immunoglobulin molecule that was cloned out of the hybridoma E20.11.2 and the corresponding amino acid sequence of a light chain immunoglobulin molecule that is secreted by the hybridoma E20.11.2.

30

### **Hybridoma E20.18**

The antibody secreted by the hybridoma E20.18 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E20.18 evidenced the following gene utilization:

V<sub>H</sub> – DP-50 (3-33)

D – \*\*

J<sub>H</sub> – \*\*

V<sub>κ</sub> – B3/DPK24

J<sub>κ</sub> – JK2

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 65-66. Figure 65 shows a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule that was cloned out of the hybridoma E20.18 and the corresponding amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E20.18.

Figure 66 shows a nucleotide sequence of the cDNA encoding the light chain immunoglobulin molecule that was cloned out of the hybridoma E20.18 and the corresponding amino acid sequence of a light chain immunoglobulin molecule that is secreted by the hybridoma E20.18.

### **Hybridoma E20.19.2**

The antibody secreted by the hybridoma E20.19.2 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular

gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E20.19.2 evidenced the following gene utilization:

V<sub>H</sub> – DP-71 (4-59)

D – \*\*

J<sub>H</sub> – JH4b

V<sub>κ</sub> – B3/DPK24

J<sub>κ</sub> – JK1

The amino acid sequence encoded by the V<sub>H</sub> DP-71 (4-59) gene is available in the V BASE sequence directory.

Amino acid and nucleotide sequence information respecting the heavy and light chains are provided below in connection with Figures 67-68. Figure 67 shows a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule that was cloned out of the hybridoma E20.19.2 and the corresponding amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E20.19.2.

Figure 68 shows a nucleotide sequence of the cDNA encoding the light chain immunoglobulin molecule that was cloned out of the hybridoma E20.19.2 and the corresponding amino acid sequence of a light chain immunoglobulin molecule that is secreted by the hybridoma E20.19.2.

#### **Hybridoma E20.21**

The antibody secreted by the hybridoma E20.21 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E20.21 evidenced the following gene utilization:

V<sub>H</sub> – DP-65 (4-31)

D – DIR3

J<sub>H</sub> – JH6b

5 V<sub>κ</sub> – LFVK431

J<sub>κ</sub> – JK3

Amino acid and nucleotide sequence information respecting the heavy chain is provided below in connection with Figure 69. Figure 69 shows a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule that was cloned out of the hybridoma E20.21 and the corresponding amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E20.21.

**Hybridoma E20.22**

15 The antibody secreted by the hybridoma E20.22 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E20.22 evidenced the following gene utilization:

V<sub>H</sub> – DP-71 (4-59)

D – DIR4

25 J<sub>H</sub> – JH6b

V<sub>κ</sub> – ??

J<sub>κ</sub> – ??

Amino acid and nucleotide sequence information respecting the heavy chain is provided below in connection with Figure 70. Figure 70 shows a nucleotide sequence of the cDNA encoding the heavy chain immunoglobulin molecule that was cloned out of the



hybridoma E20.22 and the corresponding amino acid sequence of a heavy chain immunoglobulin molecule that is secreted by the hybridoma E20.22.

### **Hybridoma E7.5.2**

5 The antibody secreted by the hybridoma E7.5.2 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular  
10 gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma E7.5.2 evidenced the following gene utilization:

V<sub>H</sub> – DP-75 (1-2)

D – A1/A1rc

15 J<sub>H</sub> – JH4

V<sub>κ</sub> – 02

J<sub>κ</sub> – JK2

The sequence of the V<sub>H</sub>1-2 (DP-75) V<sub>κ</sub> 02 gene products are available in the V  
20 BASE sequence directory. The nucleotide and amino acid sequences of the heavy and light chains of the E7.5.2 antibody are provided in Figures 72 and 73.

### **Hybridoma E7.8.2**

25 The antibody secreted by the hybridoma E7.8.2 comprises a human IgG2 antibody having a human kappa light chain. The antibodies were analyzed for structural information related to their heavy chain and light chain gene utilization, as well as their amino acid sequences. Thus, heavy chain V<sub>H</sub>, D, and J<sub>H</sub> and light chain V<sub>κ</sub> and J<sub>κ</sub> gene utilization was analyzed and differences between the coded product and the particular gene utilization was also analyzed. Accordingly, the antibody secreted by the hybridoma  
30 E7.8.2 evidenced the following gene utilization:

V<sub>H</sub> – DP-75 (1-2)

D – 1/IR-K1

J<sub>H</sub> – JH4

V<sub>κ</sub> – 012

5 J<sub>κ</sub> – JK2

#### **EXAMPLE 4**

##### **Analysis of Heavy and Light Chain Amino Acid Substitutions**

10 Figure 33 provides a comparison of specific anti-EGF-r antibody heavy chain amino acid sequence comparisons with the amino acid sequence of the particular V<sub>H</sub> gene which encodes the heavy chain of the particular antibody. Figure 34 provides a similar comparison of specific anti-EGF-r antibody light chain amino acid sequence comparisons with the amino acid sequence of the particular V<sub>κ</sub> gene which encodes the light chain of the particular antibody. As will be observed, there are several remarkably conserved amino acid substitutions amongst the heavy and light chain sequences. In particular, in the heavy chains of the antibodies, all of the heavy chain molecules are encoded by V<sub>H</sub> 4 family genes and have a Glycine in position 10 in V<sub>H</sub> 4-31 encoded antibodies and Serine in position 10 in V<sub>H</sub> 4-61 encoded antibodies are each substituted with an Aspartic Acid.

15 Also in the V<sub>H</sub> 4-31 heavy chains, all but one of the antibodies includes a Serine in position 7 substitution to Asparagine. A similar, though not quite as predominant substitution is observed in position 35, where a Serine in two of the V<sub>H</sub> 4-31 encoded antibodies and two of the V<sub>H</sub> 4-61 encoded antibodies is substituted with an Asparagine. Also, in two of the V<sub>H</sub> 4-31 encoded antibodies and two of the V<sub>H</sub> 4-61 encoded antibodies there are substitutions at position 28, where in each case, a Tyrosine is substituted with a Serine (E2.4) or a Histidine (E6.4, E2.11, and E7.6.3). Five of the antibodies, three of the V<sub>H</sub> 4-31 encoded antibodies and two of the V<sub>H</sub> 4-61 encoded antibodies, possess Valine to Leucine (E2.4 and E2.11) or Isoleucine (E2.5, E6.2, and E7.6.3) at position 50.

20 In connection with the kappa light chains amino acid sequences, all of the sequences are encoded by V<sub>κ</sub> I family genes, with seven of the molecules being encoded

by 018 genes and one (E6.4) being encoded by an 012 gene. There is a high degree of homology between the 012 and 018 gene products, as evidenced when the E6.4 molecule is compared with the 018 gene product, along with the other molecules, in Figure 34. The E6.4 molecule possesses only two substitutions relative to the 012 gene product, as shown in Figure 19, and only 13 substitutions relative to the 018 gene product. All of the antibodies possess a substitution at position 74 in CDR3 where an Asparagine is substituted with a Serine (E1.1, E2.5, E2.11, and E6.3), Histidine (E2.4, E6.2, and E7.6.3), or Arginine (E6.4). The remainder of the substitutions are less highly conserved. However, a number of the antibodies appear to possess substitutions within the CDR's. However, it is interesting to note that E7.6.3, which is an antibody with very high affinities, possesses no amino acid substitutions in the light chain amino acid sequence until just proximal to CDR3 and within CDR3.

It will be appreciated that each of the above-identified amino acid substitutions exist in close proximity to or within a CDR. Such substitutions would appear to bear some effect upon the binding of the antibody to the EGF receptor molecule. Further, such substitutions could have significant effect upon the affinity of the antibodies.

As was discussed above, anti-EGF-r antibodies have been demonstrated to possess certain anti-tumor activities. The following experiments were carried out in order to determine if antibodies in accordance with the present invention possessed such anti-tumor activities.

#### EXAMPLE 5

##### Blockage of EGF and TGF- $\alpha$ Binding to Human Epidermoid Carcinoma A431 Cells by Human Anti-EGF-r Antibodies *in vitro*

An *in vitro* assay was conducted to determine if antibodies in accordance with the present invention were capable of blocking EGF binding to a human carcinoma cell line. The experiment was conducted to compare the binding of antibodies in accordance with the invention with the murine monoclonal antibody 225 which, as discussed above, has previously demonstrated anti-cancer activity.

In this example, the human epidermoid carcinoma A431 cell line was utilized. The A431 cell line is known for its high expression level of EGF-r (about  $2 \times 10^6$  EGF-r molecules per cell). Therefore, higher concentrations of anti-EGF-r antibodies are required to saturate all of the binding sites. The results from this example are shown in Figure 35. In the Figure, blockage of  $I^{125}$  labeled EGF binding to human epidermoid carcinoma A431 cells by a human anti-EGF-r antibody *in vitro* is demonstrated. In the Figure, (□) depicts the results achieved by the anti-EGF-r antibody in accordance with the invention (E7.6.3), (○) depicts the results achieved by the murine monoclonal antibody 225, and (▲) depicts the results achieved by a control, nonspecific, human IgG2 antibody.

Figure 36 shows inhibition of EGF binding to human epidermoid carcinoma A431 cells by a panel of human anti-EGF-r antibodies in accordance with the invention *in vitro* when compared to the 225, 528, and nonspecific human IgG2 controls. In the Figure, (□) depicts the results achieved by the murine monoclonal antibody 225, (○) depicts the results achieved by the murine monoclonal antibody 528, (▼) depicts the results achieved using the E1.1 antibody in accordance with the invention, (▲) depicts the results achieved using the E2.4 antibody in accordance with the invention, (▴) depicts the results achieved using the E2.5 antibody in accordance with the invention, (◀) depicts the results achieved using the E2.6 antibody in accordance with the invention, (◆) depicts the results achieved using the E2.11 antibody in accordance with the invention, and (⊗) depicts the results achieved using a control, nonspecific human IgG2 antibody.

The results indicate that antibodies in accordance with the invention may block EGF binding to surface expressed EGF-r on A431 cells better than the 225 and 528 antibodies. Antibodies in accordance with the invention appear to begin inhibiting binding at an 8 nM concentration as compared to a 10 nM concentration for the 225 antibody.

In connection with inhibition of TGF- $\alpha$  binding, similar efficacy is observed through use of antibodies in accordance with the invention when compared to the 225 antibody. Figure 37 shows inhibition of TGF- $\alpha$  binding to human epidermoid carcinoma A431 cells by human anti-EGF-r antibodies *in vitro*, where (□) depicts the results achieved by the murine monoclonal antibody 225, (◆) depicts the results achieved using

the E6.2 antibody in accordance with the invention, ( ) depicts the results achieved using the E6.3 antibody in accordance with the invention, (▲) depicts the results achieved using the E7.2 antibody in accordance with the invention, (■) depicts the results achieved using the E7.10 antibody in accordance with the invention, (▼) depicts the results achieved using the E7.6.3, and (⊗) depicts the results achieved using a control, nonspecific human IgG2 antibody.

The results indicate that antibodies in accordance with the invention may block TGF- $\alpha$  binding to surface expressed EGF-r on A431 cells better than the 225 antibody. Antibodies in accordance with the invention appear to begin inhibiting binding at an 0.1 nM concentration as compared to a 1 nM concentration for the 225 antibody.

#### **EXAMPLE 6**

##### **Blockage of EGF Binding to Human Colon Adenocarcinoma SW948 Cells by Human Anti-EGF-r Antibodies *in vitro***

Another *in vitro* assay was conducted to determine if antibodies in accordance with the present invention were capable of blocking EGF binding to yet another human carcinoma cell line. The experiment was conducted to compare the binding of antibodies in accordance with the invention with the murine monoclonal antibody 225 which, as discussed above, has previously demonstrated anti-cancer activity.

In this example, the human colon adenocarcinoma SW948 cell line was utilized. In contrast to the A431 cell line, the SW948 cell line has relatively low expression of EGF-r on its surface (about 40,000 molecules per cell). Therefore, less of the anti-EGF-r antibodies are required to saturate all of the binding sites of the receptors on the cells. The results from this example are shown in Figure 38. In the Figure, blockage of I<sup>125</sup> labeled EGF binding to human colon adenocarcinoma SW948 cells by a human anti-EGF-r antibody *in vitro* is demonstrated. In the Figure, (○) depicts the results achieved by an anti-EGF-r antibody in accordance with the invention (E7.6.3), (□) depicts the results achieved by the murine monoclonal antibody 225, and (▲) depicts the results achieved by a control, nonspecific, human IgG2 antibody.

The results indicate that the antibody in accordance with the invention blocks EGF binding to SW948 cells at least as well as the 225 antibody. In fact, the curve is slightly improved with respect to the antibody in accordance with the invention, showing inhibition at lower concentrations than the 225 antibody.

5

#### EXAMPLE 7

#### Inhibition of Human Colon Adenocarcinoma SW948 Cell Growth by Human Anti-EGF-r Antibodies *in vitro*

10 We also conducted an *in vitro* assay to determine whether and to what degree, as compared to the 225 antibody, antibodies in accordance with the invention were capable of inhibiting cancer cell growth. The experiment was conducted to compare the inhibition by antibodies in accordance with the invention with the inhibition by the murine monoclonal antibody 225 which, as discussed above, has previously demonstrated  
15 anti-cancer activity.

In this example, the human colon adenocarcinoma SW948 cell line was utilized. In our hands, only the SW948 cell line showed EGF-dependent cell growth. In contrast, the A431 cell line showed growth inhibition in the presence of EGF *in vitro*. The results are shown in Figure 39 where it is demonstrated that human anti-EGF-r antibodies in  
20 accordance with the present invention inhibit the growth of SW948 cells *in vitro*. In the Figure, (○) depicts the results achieved by an anti-EGF-r antibody in accordance with the invention (E7.6.3), (□) depicts the results achieved by the murine monoclonal antibody 225, and (▲) depicts the results achieved by a control, nonspecific, human IgG2 antibody.

25 The results indicate that the antibody in accordance with the invention inhibits growth of SW948 cells at least as well as the 225 antibody. In fact, the curve is slightly improved with respect to the antibody in accordance with the invention, showing an apparent 100% inhibition in cell growth at approximately 100 µg/ml whereas the 225 antibody appears to plateau at an inhibition level between 80 to 90% in the same dosage  
30 range.

## EXAMPLE 8

### Inhibition of Human Epidermoid Carcinoma Growth in Nude Mice by Human Anti-EGF-r Antibodies *in vivo*

5 In the present experiment, we sought to determine if antibodies in accordance with the present invention were capable of inhibiting tumor cell growth *in vivo*. In the experiment, nude mice at the age of 8 weeks were inoculated subcutaneously with the human epidermoid carcinoma A431 cell line. Mice were injected with  $5 \times 10^6$  A431 cells. One of two dosages of an antibody in accordance with the invention or one of two  
10 controls was injected intraperitoneally on the same day when the A431 cells were inoculated. Three administrations of either antibody or control followed and mice were followed for subcutaneous tumor formation and size. The dosages of antibody utilized were either 1.0 mg or 0.2 mg. The controls were either phosphate buffered saline or a nonspecific human IgG2 antibody.

15 The results from this experiment are shown in Figure 40. In the Figure, the inhibition of human epidermoid carcinoma cell growth in nude mice through use of human anti-EGF-r antibodies in accordance with the invention *in vivo* is evident. In the Figure, (▲) depicts the results achieved with a dosage of 1.0 mg of a human anti-EGF-r antibody in accordance with the present invention (E7.6.3) (n=5), (▼) depicts the results  
20 achieved with a dosage of 0.2 mg of the E.7.6.3 antibody (n=4), (□) depicts the results achieved by a control, nonspecific, human IgG2 antibody (n=6), and (○) depicts the results achieved utilizing phosphate buffered saline as a control (n=6).

No tumor growth was observed in animals treated with the E7.6.3 antibody whereas control animals grew significant tumors within 25 days of tumor cell  
25 inoculation.

In the same experiment, three antibodies in accordance with the invention were compared. The results are shown in Figure 41. Each of the antibodies in accordance with the present invention, E7.6.3 at 1 mg in 5 mice and 0.2 mg in 4 mice, E2.5 at 1 mg in 3 mice and 0.2 mg in 3 mice, and E1.1 at 1 mg in 3 mice, demonstrated inhibition of  
30 the human epidermoid carcinoma formation in the mice in comparison to controls. All of the control animals (including 6 PBS-treated animals and 6 human IgG2-treated animals)

developed significant tumors within 19 days of inoculation whereas none of the the animals treated with the human anti-EGF-r antibodies in accordance with the invention developed tumors within 19 days of inoculation.

Figure 42 shows the results of following the animals from this above-mentioned same experiment for 130 days post inoculation with the human epidermoid carcinoma. The results from this experiment are shown in Figure 42. In the Figure, it will be observed that all of the control mice had developed tumors within 20 days of tumor cell inoculation. In contrast, the first mouse treated with an antibody in accordance with the present invention to develop a tumor was on day 70. By day 130, only 4 out of 15 of the experimental animals had developed tumors. Interestingly, none of the experimental animals treated with the 0.2 mg dosage of the E2.5 antibody developed tumors within the test period.

The above experiment in connection with this Example 8 demonstrate that antibodies in accordance with the present invention if administered contemporaneously with the inoculation of a tumor cell line appear to almost entirely prevent the initiation of tumor cell growth and initiation of the tumor. Moreover, it will be observed that the inhibitory effect on tumor cell growth appears long-lasting.

#### EXAMPLE 9

#### **Eradication of Human Epidermoid Carcinoma Growth in Nude Mice by Human Anti-EGF-r Antibodies *in vivo***

While preventing tumor cell growth and/or establishment of a tumor, as discussed above in connection with the preceding example, is a positive finding, from a therapeutic point of view, eradication of an established tumor is also highly desirable. Accordingly, in the following experiments we examined whether antibodies in accordance with the invention were capable of eradicating an established tumor in a mammal. Previous data generated in connection with the 225 antibody indicated that in order to effectively eradicate an established tumor through use of the 225 antibody it was necessary to complement treatment with an antineoplastic agent. Thus, in connection with our



experiments, we looked at antibody treatment both alone and in combination with antineoplastic agent treatment.

In the experiment, nude mice were inoculated subcutaneously with  $5 \times 10^6$  A431 human epidermoid carcinoma cells on day 0. Mice were treated with either antibodies, 5 chemotherapy agents, and/or controls after the tumor had an opportunity to become established (size  $\geq 0.4 \text{ cm}^3$ ). Treatments were begun and continued on days 5, 8, 10, 14, 16, and 21, with chemotherapies being administered only on days 5 and 6. Therapies consisted of an antibody in accordance with the invention (E7.6.3), the antineoplastic agent doxorubicin, and a combination of antibody and doxorubicin. Controls were 10 phosphate buffered saline or a nonspecific human IgG2 antibody. Each treatment group consisted of 5 animals. The data generated from the experiments are shown in Figure 43, where ( $\blacktriangle$ ) depicts the results achieved with a dosage of 1 mg of a human anti-EGF-r antibody in accordance with the present invention (E7.6.3) (n=5), ( $\times$ ) depicts the results achieved with a dosage of 125  $\mu\text{g}$  of doxorubicin, ( $\ast$ ) depicts the results achieved with a 15 dosage of 1 mg of a human anti-EGF-r antibody in accordance with the present invention (E7.6.3) in combination with a dosage of 125  $\mu\text{g}$  of doxorubicin, ( $\blacksquare$ ) depicts the results achieved by a control, nonspecific, human IgG2 antibody, and ( $\blacklozenge$ ) depicts the results achieved utilizing phosphate buffered saline as a control.

As will be observed, administration of the E7.6.3 antibody in combination with 20 doxorubicin resulted in complete eradication tumor growth. Further, tumor growth was completely arrested through administration of the E7.6.3 antibody alone.

In a similar experiment, the results of which are shown in Figure 44, following inoculation with the tumor, five mice were treated with 0.5 mg of the E2.5 antibody on days 5, 8, 10, 14, 16, and 21 and five mice were treated with a combination of the E2.5 25 antibody administered on days 5, 8, 10, 14, 16, and 21 and doxorubicin administered on days 5 and 6. In the Figure, ( $\blacklozenge$ ) depicts the results achieved with a dosage of 0.5 mg of a human anti-EGF-r antibody in accordance with the present invention (E2.5), ( $\blacksquare$ ) depicts the results achieved with a dosage of 125  $\mu\text{g}$  of doxorubicin, ( $\blacktriangle$ ) depicts the results achieved with a dosage of 0.5 mg of a human anti-EGF-r antibody in accordance with the 30 present invention (E2.5) in combination with a dosage of 125  $\mu\text{g}$  of doxorubicin, ( $\times$ )

depicts the results achieved utilizing phosphate buffered saline as a control, and (★) depicts the results achieved utilizing a control, nonspecific, human IgG2 antibody.

As will be observed, administration of the E2.5 antibody by itself, or in combination with doxorubicin, resulted in near complete eradication of tumors in the mice.

#### **EXAMPLE 10**

##### **Additional Characterization of Antibodies in Accordance with the Invention**

In order to further characterize antibodies in accordance with the invention, we conducted a number of additional *in vitro* and *in vivo* assays. In addition to the assays discussed above, certain of such assays were conducted in accordance with the following Materials and Methods:

#### **A. Materials and Methods**

**In Vitro Tumor Cell Proliferation Assay:** The effect of antibodies on the growth of human tumor cells was determined using the method described by Ishiyama et al. (21). Briefly,  $2 \times 10^3$  cells in 100  $\mu$ l of DMEM:F12 growth medium without serum were seeded into each well of a 96-well plate. Aliquots of each diluted antibody (100  $\mu$ l/well) were added in triplicate to the wells and the cultures were incubated at 37°C for 5 days. The controls consisted of either medium alone or medium containing dilutions of an human myeloma IgG<sub>2k</sub> control antibodies. After incubation, the medium was removed from each well by aspiration. All cells were fixed with 0.25% glutaraldehyde, then washed in 0.9% NaCl, air-dried and stained with Crystal Violet (Fisher Scientific, Pittsburgh, PA) for 15 min at room temperature. After washing with tap water and air-drying, 100  $\mu$ l of methanol was added to each well and the A<sub>595</sub> of each supernatant was determined in a Spectra Max spectrophotometer (Molecular Devices, Sunnyvale, CA). The percentage of growth inhibition is calculated as the mean A<sub>595</sub> measured in medium only minus A<sub>595</sub> in the presence of antibody divided by mean A<sub>595</sub> in the presence of medium only.

**Measurement of cell activation by Cytosensor microphysiometry:** To assess the effect of antibody on EGF-mediated signaling, Cytosensor microphysiometry (Molecular Devices, Sunnyvale CA) was used. The Cytosensor detects early biochemical events in cell activation based upon increases in the rate of acid release by the cells (22). Acid release was measured as described in the user's manual provided by Molecular Devices, Inc. Briefly, A431 cells ( $5 \times 10^4$ ) were seeded in 1 ml medium in a Cytosensor cell capsule and cultured at 37°C for 24 h. After incubation, the cell capsules were assembled and loaded in the Cytosensor sensing chamber maintained at 37°C. The chamber was perfused (50  $\mu$ l/min) with low buffer RPMI 1640 medium containing 1 mM sodium phosphate and 1 mg/ml endotoxin-free bovine serum albumin. Acid release rates were determined with 30-s potentiometric pH measurements after a 85-s pump cycle and 5-s delay (120-s total cycle time). Basal acid release rates ranged from 60 to 120 mV per second. % inhibition is calculated as the acid release in the presence of EGF only minus the acid release in the presence of EGF and antibody divided by the acid release in the presence of EGF only.

**Tumor xenograft mouse models:** Male BALB/c-nu/nu mice (6-8 weeks of age) were injected s.c. with  $5 \times 10^6$  A431 or MDA-MB-468 (ATCC, HTB-132) cells in 100  $\mu$ l PBS. Tumors sizes were measured twice a week with a vernier caliper and tumor volume was calculated as the product of length x width x height x  $\pi/6$ . Mice with established tumors were randomly divided into treatment groups. Animals were treated with antibodies using different regimens. Typically, mice received antibody treatment twice a week over three consecutive weeks either concomitant with the tumor cell injection (prophylactic treatment) or after tumor establishment (therapeutic treatment). The mice were followed for tumor xenograft growth and survival for at least 60 days.

**Tumor histopathological evaluation:** Biopsies obtained from athymic mice carrying human xenografts were fixed in 10% neutral buffered formalin, embedded in paraffin, and sectioned. The sections were then stained with hematoxylin and eosin, as described (23).

**EGFr phosphorylation:** 70% confluent A431 cells were pre-incubated at a low concentration of fetal bovine serum (0.5%) overnight in 37°C. The cells were then treated with 16 nM EGF in the presence or absence of different concentrations of E7.6.3 MAb for 30 minutes at 37°C. After the 30-min incubation, the cells were washed three times with cold PBS and scraped into 0.5ml of lysis buffer (10mM Tris, 150mM NaCl, 5mM EDTA, 1% Triton-100, 0.1mg/ml PMSF, 1µg/ml aprotinin, 1µg/ml leupeptin and 1mM sodium orthovanadate). After 30 minutes of incubation on ice, the lysate was centrifuged at 10,000 rpm for 5 minutes in an Eppendorf microcentrifuge at 4°C. The protein concentration in the supernatant was measured using BCA protein assay reagents (Pierce, 23223 and 23224). A small portion of the supernatant was mixed with sample buffer (Novex, LC2676) and boiled for 3 minutes. The proteins in the supernatant were then separated by electrophoresis on a 12% SDS-polyacrylamide gel. Equal amounts of total protein were loaded from each cell lysate. Mouse anti-phosphotyrosine (Zymed Laboratories, South San Francisco, AC, 03-7720) was used for detection of EGFr tyrosine phosphorylation on Western blots. ECL western blotting detection reagents (Amersham, Arlington Heights, IL, RPN2106) and the Hyperfilm ECL (Amersham, Arlington Heights, IL, RPN1674H) were used for visualizing the signal. The integrated densities of the bands of interest were analyzed using an AGFA Scanner and the Scanalytics OneDscan software (Hewlett Packard, Mountain View, CA).

## **B. Analysis**

### ***1. Generation and characterization of high affinity neutralizing fully human anti-EGFr MAbs from XenoMouse strains:***

As described in Example 1, we derived fully human IgG<sub>2</sub>κ antibodies from transgenic, XenoMouse<sup>TM</sup>, mouse strains through immunization with human vulvar epidermoid carcinoma A431 cells. Such cells express approximately 1x10<sup>6</sup> EGFr/cell (2, 3 and data not shown). Fusion of B cells from immunized XenoMice with mouse myeloma cells yielded a panel of hybridomas that secrete human IgG<sub>2</sub>κ MAbs specific to

the extracellular domain of human EGFr, as determined by ELISA, BIAcore, Western blots, and flow cytometry analysis (data not shown). The human  $\gamma 2$  was chosen as the preferred isotype with minimal immune-associated cytotoxicity against normal EGFr-expressing tissues.

To identify human MAbs with neutralization activity, purified antibodies were evaluated for their ability to inhibit the binding of EGF and TGF $\alpha$  to human tumor cell lines that express low (colon carcinoma SW948- $5 \times 10^4$ /cell) or high (A431, or breast adenocarcinoma MDA-MB-468- $1 \times 10^6$ /cell) levels of EGFr. As positive controls, the commercially available murine MAbs, 225 and 528, were tested in parallel. A XenoMouse-derived IgG $_2$ k antibody PK16.3.1, specific for keyhole limpet hemocyanin (KLH), or a non-specific human myeloma IgG $_2$ k antibody were used as a negative control. Fig. 45A represents the results obtained with a subset of the fully human anti-EGFr MAbs tested in these assays. Three of the five human anti-EGFr antibodies shown, E7.6.3, E2.5.1 and E2.3.1, and the mouse anti-EGFr 225 and 528 MAbs blocked the binding of [ $^{125}$ I]EGF (0.1nM) to A431 in a concentration-dependent manner. In contrast, E7.5.2 and E7.8.2 did not have any effect on EGF binding. The calculated IC $_{50}$  values (3.0 nM for E7.6.3, 5.6 nM for E2.5.1, 9.1 nM for E2.3.1, 8.8 nM for 225 and 15.2 nM for 528) suggested E7.6.3 as a potent neutralizing antibody. Furthermore, EGF binding to SW948 cells was also blocked by the human E7.6.3. and E2.3.1 and by the mouse 225 MAbs (Fig. 45B). The IC $_{50}$  values detected in studies with SW948 cells were 0.9 nM for E7.6.3, 0.24 nM for E2.3.1, and 0.17 nM for 225. The efficacy of E7.6.3 in neutralizing ligand binding was also demonstrated in blocking TGF $\alpha$  binding to A431 cells (data not shown). These results indicated that XenoMouse strains are capable of producing fully human anti-EGFr antibodies which recognize different epitopes on the receptor, including those involved in ligand binding.

The affinity of the purified E7.6.3 MAb to EGFr was determined to be  $5 \times 10^{-11}$  M by both solid phase and solution measurements ( $K_{on}$ - $1.97 \times 10^6$  ;  $K_{off}$ - $1.13 \times 10^{-4}$ ). E7.6.3 exhibits cross-reactivity with African Green monkey EGFr but not with the mouse EGFr (data not shown). The E7.6.3 hybridoma exhibited significant levels of antibody production that reached a specific productivity rate of 12 pg/cell/day in serum-free medium growth conditions. Based on its high affinity to EGFr and its potency in

blocking EGF/TGF $\alpha$  binding, E7.6.3 MAb was selected for further evaluation of its efficacy in affecting tumor cell growth *in vitro* and *in vivo*.

2. *Antibodies in accordance with the present invention, such as the E7.6.3 Mab, inhibit EGF-mediated tumor cell activation:*

The ability of E7.6.3 to inhibit tumor cell activation was evaluated by examining its effects on EGF-triggered cellular responses such as the tyrosine kinase activity of EGFr, the extracellular acidification rate, and cell proliferation.

One of the first events following EGF binding to its receptor is the induction of EGFr tyrosine kinase activity, resulting in autophosphorylation of the receptor (1). As shown in Fig. 46, incubation of human EGF (16 nM) with A431 cells induced the tyrosine phosphorylation of the 170 kDa EGFr. While E7.6.3 did not activate the receptor tyrosine kinase activity, the antibody blocked EGFr tyrosine phosphorylation in a dose-dependent manner, with a nearly complete inhibition at concentration of 133 nM (antibody: EGFr molar ratio of 8:1) (Fig. 46). The E7.6.3 antibody also completely prevented the internalization of EGF (data not shown). Presumably, the interaction of E7.6.3 with the receptor led to internalization of the antibody-receptor complex but did not activate the receptor tyrosine kinase (Fig. 46).

Engagement of EGF with its receptor results in cell activation, which is reflected by changes in the extracellular acidification rate. These changes can be detected by the Cytosensor Microphysiometer, a pH-sensitive silicon sensor that measures real-time changes in the acidification of the microenvironment surrounding a population of stimulated cells (22). Using this assay, we examined the effect of E7.6.3 on EGF-mediated A431 cell activation. As shown in Fig. 47A, the addition of 1.67 nM EGF to A431 cells induced an immediate increase in the extracellular acidification rate. No effect was observed when the cells were incubated only with E7.6.3 antibody at concentrations up to 100 nM (not shown). The concurrent addition of E7.6.3 resulted in a dose-dependent inhibition of EGF-mediated extracellular acidification (Fig. 47A,B), whereas no effect was detected with the isotype matched control antibody PK16.3.1 (Fig. 47B).

Lastly, we examined the effect of E7.6.3 on the *in vitro* proliferation of the EGFr-expressing tumor cell lines A431 and MDA-MB-468, again in comparison to the mouse anti-EGFr antibodies. Both cell lines, expressing high levels of EGFr, have been shown to secrete TGF $\alpha$  and to be growth inhibited by the addition of exogenous EGF at nM concentrations (24,25). Therefore, the experiments using these two cell lines were carried out in the absence of exogenous EGF. E7.6.3 inhibited the growth of A431 cells in a dose-dependent manner, with a maximal inhibition of 60%, a level similar to that obtained with the mouse antibody 225 and higher than that observed for the 528 antibody (Fig. 48A). The control antibody did not have any effect on cell proliferation (Fig. 48A). The calculated IC<sub>50</sub> values for E7.6.3 (0.125 nM), 225 (0.48 nM) or 528 (0.66 nM) antibodies, indicated E7.6.3 efficacy in inhibiting A431 cell proliferation (Fig. 48A). A similar level of growth inhibition by E7.6.3 was observed with MDA-MB-468 cells (Fig. 48B). Since no exogenous EGF was added to the culture, these results indicate the ability of the human antibody to block autocrine growth stimulation and thus to inhibit EGF/TGF $\alpha$ -induced tumor cell activation. In experiments carried out with SW948 cells, 10 nM of E7.6.3 MAb blocked completely the proliferation of the cells (data not shown).

**3. *Antibodies in accordance with the invention, such as the E7.6.3 Mab, prevents human tumor formation in mice:***

To examine the effect of E7.6.3 on tumor cell growth *in vivo*, the antibody was first evaluated for its ability to prevent the formation of A431 tumor xenografts in athymic mice. A431 cells ( $5 \times 10^6$ /mouse) were injected subcutaneously (s.c.) into mice in conjunction with intraperitoneal (i.p.) administration of either PBS (group 1), 1mg of the control antibody PK16.3.1 (group 2), or 0.2mg or 1mg of E7.6.3 (groups 3 and 4). The antibody administration was repeated twice a week over three weeks, for a total dose of 1.2 mg (group 3) or 6 mg (groups 2 and 4). As shown in Fig. 52, all mice treated with either PBS or the control antibody developed tumors by day 10 after inoculation and were euthanized at day 30 due to the large size of the tumors. In contrast, none of the mice treated with E7.6.3 antibody developed tumors for more than 8 months following the last antibody injection. The data indicated that E7.6.3 prevented the formation of A431

xenografts, probably by exerting its neutralization activity at the initial phase of the tumor cell proliferation.

4. *Antibodies in accordance with the invention, such as the E7.6.3 Mab, eradicate large human tumor xenografts in mice:*

The effect of E7.6.3 on the growth of established tumors was examined on A431 tumor xenografts that reached a size of 0.13 to 1.2 cm<sup>3</sup> (calculated as length x width x height x  $\pi/6$ ). Initially, mice bearing 0.13-0.25 cm<sup>3</sup>-sized tumors were treated i.p. with 1mg of either E7.6.3 Mab or the human myeloma IgG<sub>2</sub>k control antibody, twice a week over three weeks. As shown in Fig. 49 and in Fig. 53, the tumors in untreated mice or mice treated with the control antibody continued their aggressive growth to reach the size of 3 cm<sup>3</sup> by day 30, at which point the mice were euthanized. In contrast, treatment with E7.6.3, not only arrested further growth of the tumors but also caused continuous tumor regression that resulted in a complete tumor eradication in all mice treated (Figs. 49 and 53). No recurring tumors were detected for more than 250 days in any of the mice that were monitored, demonstrating a long-lasting effect of the antibody and its ability to completely eliminate all tumor cells.

We next evaluated the potency of E7.6.3 antibody to treat large established tumor xenografts. Mice bearing 0.13, 0.56, 0.73 or 1.2 cm<sup>3</sup>-sized A431 tumors were treated i.p. with 1mg E7.6.3 twice a week, over three weeks, initiated on day 7, 11, 15 or 18, respectively. As demonstrated in Fig. 49, E7.6.3 caused a profound tumor regression in all the treated mice regardless of their initial tumor size, even with tumors as large as 1.2 cm<sup>3</sup>. Furthermore, this treatment led to a complete disappearance of the tumors (Fig. 49) and no tumor recurrence in any of the mouse groups for 210 days after the last antibody injection (data not shown).

As summarized in Fig. 53, the antibody effect appears to be dose-dependent with a total dose of 3 mg leading to a nearly complete tumor eradication and a total dose of 0.6 mg eliminating 65% of the established A431 xenografts.

To compare the anti-tumor activity of E7.6.3 to that of the mouse 225 antibody, which was reported to affect the growth of established A431 tumors but not cause their



elimination (12,13), we used suboptimal E7.6.3 doses (0.05 mg and 0.2 mg, given twice a week for three weeks) that also caused primarily tumor regression in A431 xenografts. At these antibody doses, there was a significant difference between in the ability of E7.6.3 and 225 to arrest the growth of A431 xenografts (Fig. 49C).

5 E7.6.3 was also shown to be efficacious in inhibiting the growth of the breast carcinoma MDA-MB-468 xenografts (Fig. 50A). Treatment of 0.2 cm<sup>3</sup> tumor-bearing mice with 2 mg antibody once a week over 2 weeks led to a complete arrest of the tumor growth. The fact that there was no apparent change in the residual nodules for 120 days after the last antibody administration, strongly suggests that the antibody effectively  
10 eradicated these tumors.

A similar anti-tumor activity of E7.6.3 was observed when the antibody was given via different administration routes (Fig. 50B). Administration of 0.5 mg E7.6.3 into mice carrying 0.15 cm<sup>3</sup>-sized A431 xenografts twice a week over three weeks by either i.p., s.c., i.v., or i.m route all caused complete tumor eradication.

15 The elimination of all tumor cells by E7.6.3 was further supported by the histopathological analysis of the small residual nodules observed in some of the A431 xenograft-bearing mice that were treated with the lower antibody doses. Biopsies taken from these nodules at day 79 were shown to contain a thin fibrovascular capsule lined by necrotic cells with its center filled with keratinic and calcified debris (Fig. 51A). There  
20 was no evidence of neoplastic cells, which were readily detected in tumors taken from mice treated with PBS or control antibody (Fig. 51B). A mild inflammatory infiltration of neutrophils, lymphocytes, plasma cells, macrophages and multinucleated giant cells surrounded the capsule. Taken together with the long lasting inhibitory effect, the data strongly suggest complete tumor cell eradication by E7.6.3 antibody.

### C. Discussion

Utilization of XenoMouse animals for the production of human antibodies specific to the human EGFr yielded the fully human IgG<sub>2</sub>k Mab, E7.6.3, characterized by high affinity and strong neutralization activity. Its demonstrated efficacy in eradicating large established human tumor xenografts without concomitant chemotherapy strongly suggests it as a suitable candidate for antibody monotherapy in patients with EGFr-expressing tumors.

E7.6.3 exhibited strong efficacy in blocking the binding of EGF and TGF $\alpha$  to EGFr on the surface of different human carcinoma cell lines, including those that express high levels of receptors (Fig. 45). The complete inhibition of ligand binding to the receptors on A431 and SW948 cells resulted in an abolishment of the signaling events triggered by EGF or TGF $\alpha$ , including EGFr autophosphorylation and internalization, increased extracellular acidification rate, and cell proliferation. Our results indicate that E7.6.3 can block ligand-induced cell activation and that E7.6.3 does not act as an agonist to trigger cellular responses in EGFr-expressing tumors (Figs. 2,3).

The anti-tumor activity of E7.6.3 was examined in multiple xenograft mouse experiments, in which the effects of various antibody doses on different sizes of tumors were established (Figs. 5,6). The results obtained from these studies demonstrated the unique anti-tumor properties of E7.6.3 MAb as compared to the other reported anti-EGFr antibodies. E7.6.3 not only arrested the growth of human tumor xenografts but also completely eradicated established tumors by itself, without the need for concomitant chemotherapy. Tumor eradication of A431 xenografts was achieved in nearly all mice treated with total doses as low as 3 mg, administered over the course of 3 weeks, and a total dose of 0.6 mg led to tumor elimination in 65% of the mice (Figs. 5, 6B, Table 2). In comparison, 8 mg of 225 and 10 mg of 528 antibodies, given over 4 and 10 weeks, respectively, had only a limited effect on A431 tumors and required the co-administration of chemotherapeutic drugs to achieve the elimination of the tumors (12,13). A direct comparison between E7.6.3 and 225 MAbs at low doses demonstrated E7.6.3 as a more potent antibody in regressing established A431 tumors and arresting their growth (Fig. 49C). The chimeric C225 MAb, which was reported to acquire higher affinity to EGFr

and enhanced *in vivo* anti-tumor activities, achieved complete A431 tumor eradication at a total dose of 10 mg, given over 5 weeks, whereas total doses of 2.5 and 5 mg led to only 14% and 57% of tumor-free mice (14). The potent anti-tumor activity of E7.6.3 was further validated by its ability, at a 6 mg total dose, to completely eliminate established tumors as large as 1.2 cm<sup>3</sup> in all mice treated.

This anti-tumor potency of E7.6.3 is likely to originate primarily from the antibody's intrinsic activity as its human  $\gamma 2$  isotype was shown to minimally engage the immune system-derived effector functions, such as cell-mediated cytotoxicity or complement-dependent cytolysis. In comparison, the anti-tumor activities of the rat ICR62, mouse 528 or chimeric C225 antibodies were suggested to reflect the participation of the host immune effector functions recruited by the respective rodent  $\gamma 2b$  or human  $\gamma 1$  isotypes (2,4,6,26).

The molecular mechanism(s) underlying the potent anti-tumor activity of E7.6.3 appear to be contributed to by several factors, including, (i) the antibody's ability to block ligand-triggered growth and survival signals and (ii) the effects that the antibody may exert on the cell upon its interaction with the receptor. The potency of E7.6.3 can be attributed, at least in part, to the high affinity ( $5 \times 10^{-11}$  M) that the antibody exhibits to human EGFr, higher than the affinity reported for other anti-EGFr MAbs (12,14). With its high affinity, E7.6.3 can inhibit or dissociate the ligand binding to the receptors very effectively, thus depriving the cells completely from receiving essential growth and survival stimuli. Like other anti-EGFr antibodies (2,4,6), E7.6.3 MAb does not act as an agonist and does not activate cells upon binding to the receptor. The difference in efficacy between E7.6.3 and the other antibodies tested in xenograft mouse models can also be attributed to a unique E7.6.3 binding epitope on EGFr that can mediate a stronger neutralization effect or induce cell cytotoxicity. The latter factor is supported by the ability of E7.6.3 to eradicate well established human xenografts, as large as 1.2 cm<sup>3</sup>. The mechanism behind the *in vivo* cytotoxic effects of E7.6.3 may involve the induction of either programmed cell death, differentiation of the tumor cells, or modulation of expression of angiogenesis factors, mechanisms that were shown to be triggered by antibodies in cultured cells (27-31). Different mechanisms may account for the antibody

effect on different tumors and in some cases probably more than one mechanism contributes to the anti-tumor activity.

The potency of E7.6.3 in eradicating well established tumors suggests that this antibody can provide effective therapy to tumors that require EGFr activation for their continuous progression and survival. As E7.6.3 does not require the presence of chemotherapy to exert its anti-tumor activity, the antibody could be applied to various EGFr-expressing human solid tumors. Furthermore, being a fully human antibody, E7.6.3 is expected to have a long serum half life and minimal immunogenicity with repeated administration, including in all immunocompetent patients. In addition, bearing a human  $\gamma 2$  constant region that interacts poorly with the effector arm of the immune system, E7.6.3 MAb may not induce cytotoxic effects on normal EGFr-expressing tissues such as liver and skin.

Utilization of Mabs directed to growth factor receptors as cancer therapeutics has been validated recently by the tumor responses obtained from clinical trials with Herceptin<sup>TM</sup>, the humanized anti-HER2 antibody, in patients with HER2 overexpressing metastatic breast cancer (32, 33). The potent *in vivo* anti-tumor activity of E7.6.3, as demonstrated in this report, suggests it as a good candidate for assessing the therapeutic potential of anti-EGFr therapy in EGFr-expressing human tumors.

## EXAMPLE 12

### Valency of Human Anti-EGF-r Antibodies

Valency has been indicated to play a role in certain in connection with certain antibodies that bind to EGFr. For example, Masui et al. *Cancer Research* 46:5592-5598 (1986) conducted certain investigations related to the 528 and 225 antibodies and postulated that valency of the antibodies could play a role in the mechanism of action of the antibodies. It was unclear for the paper, however, whether the effects observed were based upon valency or upon a form of complement fixation/cytotoxicity. More recently, investigations have highlighted that valency may play an important role in connection with either the facilitation or prevention of certain dimerization events in connection with certain cell-surface receptors in oncogenesis. See, for example, Chanty A. *J. Biol. Chem.* 270:3068-3073 (1995); Wallasch et al. *EMBO J.* 14:4267-4275 (1995); Earp et al. *Breast*

*Cancer Research Treatment* **35**:115-132 (1995); and Zhang et al. *J. Biol. Chem.* **271**:3884-3890 (1996).

Based upon the results observed in Figure 45A, we observed a significantly different slope between the inhibition curve for E7.6.3 antibody and that for the 225 antibody. Such difference may be based upon valency. Accordingly, in order to further investigate the valency of the E7.6.3 antibody, we plan to conduct saturation studies on the E7.6.3 antibody (or other antibodies in accordance with the invention) as compared to the 225 and/or 528 antibody. In the studies, the test antibodies will be iodinated with radioactive iodine using conventional techniques and varying quantities of the test antibodies (until saturation) will be introduced to wells containing known numbers of EGF receptors. Bound antibodies will be determined using counting.

A difference in valency could be indicative of a role of antibodies in accordance with the invention in effecting dimerization of EGF receptor.

### **EXAMPLE 13**

#### **Human Clinical Trials for the Treatment and Diagnosis of Human Carcinomas through use of Human Anti-EGF-r Antibodies *in vivo***

##### **Introduction**

Antibodies in accordance with the present invention are indicated in the treatment of certain solid tumors. Based upon a number of factors, including EGF-r expression levels, among others, the following tumor types appear to present preferred indications: breast, ovarian, colon, prostate, bladder and non-small cell lung cancer. In connection with each of these indications, three clinical pathways appear to offer distinct potentials for clinical success:

Adjunctive therapy: In adjunctive therapy, patients would be treated with antibodies in accordance with the present invention in combination with a chemotherapeutic or antineoplastic agent and/or radiation therapy. The primary targets listed above will be treated under protocol by the addition of antibodies of the invention to standard first and second line therapy. Protocol designs will address effectiveness as assessed by reduction in tumor mass as well as the ability to reduce usual doses of

standard chemotherapy. These dosage reductions will allow additional and/or prolonged therapy by reducing dose-related toxicity of the chemotherapeutic agent. Prior art anti-EGF-r antibodies have been, or are being, utilized in several adjunctive clinical trials in combination with the chemotherapeutic or antineoplastic agents adriamycin (C225: advanced prostate carcinoma), cisplatin (C225: advanced head and neck and lung carcinomas), taxol (C225: breast cancer), and doxorubicin (C225: preclinical).

Monotherapy: In connection with the use of the antibodies in accordance with the present invention in monotherapy of tumors, the antibodies will be administered to patients without a chemotherapeutic or antineoplastic agent. Preclinical results generated through use of antibodies in accordance with the present invention and discussed herein have demonstrated similar results with both adjunctive therapy and/or as a stand-alone therapy. Moreover, monotherapy has apparently been conducted clinically in end stage cancer patients with extensive metastatic disease. Patients appeared to show some disease stabilization. *Id.* Trials will be designed to demonstrate an effect in refractory patients with (cancer) tumor.

Imaging Agent: Through binding a radionuclide (e.g., yttrium ( $^{90}\text{Y}$ )) to antibodies in accordance with the present invention, it is expected that radiolabeled antibodies in accordance with the present invention can be utilized as a diagnostic, imaging agent. In such a role, antibodies of the invention will localize to both solid tumors, as well as, metastatic lesions of cells expressing the EGF receptor. In connection with the use of the antibodies of the invention as imaging agents, the antibodies can be used in assisting surgical treatment of solid tumors, as both a pre-surgical screen as well as a post operative follow to determine what tumor remain and/or returns. An ( $^{111}\text{In}$ )-C225 antibody has been used as an imaging agent in a Phase I human clinical trial in patients having unresectable squamous cell lung carcinomas. Divgi et al. *J. Natl. Cancer Inst.* 83:97-104 (1991). Patients were followed with standard anterior and posterior gamma camera. Preliminary data indicated that all primary lesions and large metastatic lesions were identified, while only one-half of small metastatic lesions (under 1 cm) were detected.

## **Dose and Route of Administration**

While specific dosing for antibodies in accordance with the invention has not yet been determined, certain dosing considerations can be determined through comparison with the similar product (ImClone C225) that is in the clinic. The C225 antibody is typically being administered with doses in the range of 5 to 400 mg/m<sup>2</sup>, with the lower doses used only in connection with the safety studies. Antibodies in accordance with the invention have a one-log higher affinity than the C225 antibody. Further, antibodies in accordance with the present invention are fully human antibodies, as compared to the chimeric nature of the C225 antibody and, thus, antibody clearance would be expected to be slower. Accordingly, we would expect that dosing in patients with antibodies in accordance with the invention can be lower, perhaps in the range of 50 to 300 mg/m<sup>2</sup>, and still remain efficacious. Dosing in mg/m<sup>2</sup>, as opposed to the conventional measurement of dose in mg/kg, is a measurement based on surface area and is a convenient dosing measurement that is designed to include patients of all sizes from infants to adults.

Three distinct delivery approaches are expected to be useful for delivery of the antibodies in accordance with the invention. Conventional intravenous delivery will presumably be the standard delivery technique for the majority of tumors. However, in connection with tumors in the peritoneal cavity, such as tumors of the ovaries, biliary duct, other ducts, and the like, intraperitoneal administration may prove favorable for obtaining high dose of antibody at the tumor and to minimize antibody clearance. In a similar manner certain solid tumors possess vasculature that is appropriate for regional perfusion. Regional perfusion will allow the obtention of a high dose of the antibody at the site of a tumor and will minimize short term clearance of the antibody.

## **Clinical Development Plan (CDP)**

Overview: The CDP will follow and develop treatments of anti-EGF-r antibodies in accordance with the invention in connection with adjunctive therapy, monotherapy, and as an imaging agent. Trials will be initially utilized to demonstrate safety and will thereafter be utilized to address efficacy in repeat doses. Trails will be open label comparing standard chemotherapy with standard therapy plus antibodies in accordance with the invention. As will be appreciated, one criteria that can be utilized in connection

with enrollment of patients can be EGF-r expression levels of patient tumors as determined in biopsy.

As with any protein or antibody infusion based therapeutic, safety concerns are related primarily to (i) cytokine release syndrome, i.e., hypotension, fever, shaking, chills, (ii) the development of an immunogenic response to the material (i.e., development of human antibodies by the patient to the human antibody therapeutic, or HAHA response), and (iii) toxicity to normal cells that express the EGF receptor, e.g., hepatocytes which express EGF-r. Standard tests and follow up will be utilized to monitor each of these safety concerns. In particular, liver function will be monitored frequently during clinical trials in order to assess damage to the liver, if any.

**Human Clinical Trial: Adjunctive Therapy with Human Anti-EGF-r Antibody and Chemotherapeutic Agent**

A phase I human clinical trial will be initiated to assess the safety of six intravenous doses of a human anti-EGF-r antibody in accordance with the invention in connection with the treatment of a solid tumor, e.g., breast cancer. In the study, the safety of single doses of antibodies in accordance with the invention when utilized as an adjunctive therapy to an antineoplastic or chemotherapeutic agent, such as cisplatin, topotecan, doxorubicin, adriamycin, taxol, or the like, will be assessed. The trial design will include delivery of six, single doses of an antibody in accordance with the invention with dosage of antibody escalating from approximately about 25 mg/m<sup>2</sup> to about 275 mg/m<sup>2</sup> over the course of the treatment in accordance with the following schedule:

	Day 0	Day 7	Day 14	Day 21	Day 28	Day 35
Mab Dose	25 mg/m <sup>2</sup>	75 mg/m <sup>2</sup>	125 mg/m <sup>2</sup>	175 mg/m <sup>2</sup>	225 mg/m <sup>2</sup>	275 mg/m <sup>2</sup>
Chemotherapy (standard dose)	+	+	+	+	+	+

Patients will be closely followed for one-week following each administration of antibody and chemotherapy. In particular, patients will be assessed for the safety concerns mentioned above: (i) cytokine release syndrome, i.e., hypotension, fever, shaking, chills, (ii) the development of an immunogenic response to the material (i.e.,



development of human antibodies by the patient to the human antibody therapeutic, or HAHA response), and (iii) toxicity to normal cells that express the EGF receptor, e.g., hepatocytes which express EGF-r. Standard tests and follow up will be utilized to monitor each of these safety concerns. In particular, liver function will be monitored frequently during clinical trials in order to assess damage to the liver, if any.

Patients will also be assessed for clinical outcome, and particularly reduction in tumor mass as evidenced by MRI or other imaging.

Assuming demonstration of safety and an indication of efficacy, Phase II trials would likely be initiated to further explore the efficacy and determine optimum dosing.

#### **Human Clinical Trial: Monotherapy with Human Anti-EGF-r Antibody**

Assuming that the antibodies in accordance with the present invention appear safe in connection with the above-discussed adjunctive trial, a human clinical trial to assess the efficacy and optimum dosing for monotherapy. Such trial could be accomplished, and would entail the same safety and outcome analyses, to the above-described adjunctive trial with the exception being that patients will not receive chemotherapy concurrently with the receipt of doses of antibodies in accordance with the invention.

#### **Human Clinical Trial: Diagnostic Imaging with Anti-EGF-r Antibody**

Once again, assuming that the adjunctive therapy discussed above appears safe within the safety criteria discussed above, a human clinical trial can be conducted concerning the use of antibodies in accordance with the present invention as a diagnostic imaging agent. It is expected that the protocol would be designed in a substantially similar manner to that described in Divgi et al. *J. Natl. Cancer Inst.* **83**:97-104 (1991).

## EXAMPLE 14

### Additional Characterization of Antibodies in Accordance with the Invention

In order to further characterize antibodies in accordance with the invention, we conducted a number of additional *in vivo* assays. In addition to the assays discussed above (i.e., in connection with Example 10), certain of such assays were conducted in accordance with the following Materials and Methods:

#### A. Materials and Methods

**Tumor xenograft mouse models:** In this experiment, we evaluated the following tumor cell lines: three human pancreatic carcinoma cell lines (HPAC, BxPC-3, HS766T (each obtained from the ATCC: HPAC (ATCC, CRL-2219), BxPC-3 (ATCC, CRL-1687), HS766T (ATCC, HTB-134))), the human kidney carcinoma cell line, SK-RC-29 (obtained from the Memorial Sloan-Kettering Cancer Center, NY, NY), and the human colon carcinoma cell line, SW707 (obtained from the Deutsches Krebsforschungszentrum (German Cancer Research Institute), Heidelberg, DE). Male BALB/c-nu/nu mice (6-8 weeks of age) were injected s.c. with  $5 \times 10^6$  A431 or HPAC, BxPC-3, HS766T, SK-RC-29, or SW707 cells in 100 $\mu$ l PBS. Tumors sizes were measured twice a week with a vernier caliper and tumor volume was calculated as the product of length x width x height x  $\pi/6$ . Mice with established tumors were randomly divided into treatment groups. Mice received antibody treatment twice a week over three consecutive after tumor establishment. Tumors were measured twice a week.

#### B. Analysis

##### ***1. Antibodies in accordance with the invention, such as the E7.6.3 Mab, eradicate human tumor xenografts in mice.***

To understand the underlying mechanism of the *in vivo* anti-tumor activity of E7.6.3, mice bearing A431 tumors were treated i.p with. two different human anti-EGF-r Mabs, E7.6.3 or E7.5.2 (1mg/mouse). Although both Mabs bind human EGF-r, only

E7.6.3 blocks the binding of EGF or TGF $\alpha$  to EGF-r while E7.5.2 does not. As shown in Figure A, the tumors were completely eradicated on day 60 by the neutralizing antibody E7.6.3 while E7.5.2 had almost no effect on tumor growth as compared to the control. The data suggest that the *in vivo* anti-tumor activity of anti-EGF-r antibody requires the blockade of EGF-r binding sites.

**2. *Antibodies in accordance with the invention, such as the E7.6.3 Mab, does not affect EGF-r negative human tumor xenografts in mice.***

To understand the underlying mechanism of the *in vivo* anti-tumor activity of E7.6.3, human colon tumor cells SW707 which do not express EGF-r were injected s.c. into nude mice. Mice bearing established SW707 tumors were treated i.p. with 1mg of human anti-EGF-r Mab, E7.6.3. Control mice received no treatment. As shown in Figure F, treatment with E7.6.3 at 1 mg twice a week for three weeks failed to affect the growth of SW707 tumor indicating that the *in vivo* anti-tumor activity of anti-EGF-r antibody is antigen-specific.

**3. *Antibodies in accordance with the invention, such as the E7.6.3 Mab, inhibits the growth of multiple human tumor xenografts in mice:***

The effect of E7.6.3 on the growth of multiple different human tumors was examined in xenograft mice. Three human pancreatic tumor cell lines, HPAC, BxPC-3 or HS766T, or a human renal tumor SK-RC-29 were injected into nude mice. The mice bearing the established tumors were treated i.p. with 1 mg of E.7.6.3 twice a week for three weeks. E7.6.3 treatment resulted in growth inhibition of HPAC during and 12 days after antibody treatment. Nevertheless, the inhibitory effect disappeared 12 days after termination of the treatment suggesting that for some tumors a sustained inhibition of tumor growth may require a prolonged antibody treatment. In contrast, administration of E7.6.3 twice a week for three weeks led to a significant and extended tumor growth arrest of BxPC-3, HS766T and SK-RC-29. Since the expression level of EGF-r on HPAC is much lower than BxPC-3, HS766T and SK-RC-29 (data not shown), it appears possible

that tumors that have high levels of EGF-r expressed on their cell surfaces respond preferentially to the anti-EGFr antibody treatment.

#### EXAMPLE 15

##### Additional Characterization of Antibodies in Accordance with the Invention

Example 10 presented information related to the inhibition of EGF-r phosphorylation and preliminary data related to the internalization of the EGF-r by cells. Further to the detailed discussion in Example 10 related to additional characterization of the antibodies in accordance with the present invention, we have demonstrated additional activities that appear to be important to the activity of the E7.6.3 antibody of the invention. the

#### Materials and Methods

##### Internalization of EGFr

In order to study the effect anti-EGF-r antibodies on internalization of EGF-r, confluent A431 cells in 24 well plates were washed and incubated with 10 ng/ml of <sup>125</sup>I-EGF or 200 ng/ml of <sup>125</sup>I-E7.6.3 at 4°C for 90 min, and then incubated at 37°C for different times to allow internalization. The plates were then placed on ice and washed. Surface-bound ligand was collected by two washes with 0.5 M acetic acid, 150 mM NaCl, and the cells were lysed with 1 ml of 1N NaOH for 30 min at 37°C. The radioactivity in the acetic acid and NaOH was counted in β-counter. See Figure 80.

##### EGF and EGFr degradation

In order to study the effect of anti-EGF-r antibodies on degradation of EGF-r, 70% confluent A431 cells were labeled with <sup>35</sup>S-Methionine in methionine free medium containing 10% FBS for 16 hrs. After labeling, the cells were washed with PBS and incubated with serum free DMEM/F12 medium for 1 hr. The cells were then treated with 16 nM of EGF and 133 nM of either the E7.6.3 antibody, 225 antibody for 30 min, or a

negative control. As controls, either K221 (a human IgG2 anti-IL-8 antibody) or a murine anti-IgG1 antibody were used. After the 30-min incubation with the antibody, the cells were washed three times with cold PBS and scraped into 0.5ml of lysis buffer (10mM Tris, 150mM NaCl, 5mM EDTA, 1% triton-100, 0.1mg/ml PMSF, 1µg/ml aprotinin, 1µg/ml leupeptin, 50 mM NaF, 40 mM β-glycerol phosphate, 10mM pyrophosphate, 10 mM Hepes pH 7.3, and 1mM sodium orthovanadate). After 30 minutes of incubation on ice, the lysate was centrifuged at 10,000 rpm for 5 minutes in an Eppendorf centrifuge at 4°C. 100 µl of lysate was immunoprecipitated using the E7.5.2 (discussed above, a non-neutralizing human anti-EGFr antibody) using protein A Sepharose beads. The protein A Sepharose-E7.5.2-protein (in lysate) complex were washed three times, mixed with 2 x SDS sample buffer and boiled for 4 min. The proteins in samples were separated by electrophoresis on a 10% SDS-polyacrylamide gel. The gels were then fixed and dried before exposing to a film. See Figures 81 and 82.

#### EGF-r threonine phosphorylation

In order to study the effects of anti-EGF-r antibodies on threonine phosphorylation of EGF-r, 70% confluent A431 cells were labeled with <sup>35</sup>S-Methionine in methionine free medium containing 10% FBS for 16 hrs. After labeling, the cells were washed with PBS and incubated with serum free DMEM/F12 medium for 1 hr. The cells were then treated with or without EGF (5 or 10 nM) in the absence or presence of the E7.6.3 antibody or the 225 antibody (200 nM) for 30 minutes. The K2.2.1 antibody was used as a negative control. After the 30-min incubation, the cells were washed three times with cold PBS and scraped into 0.5ml of lysis buffer (10mM Tris, 150mM NaCl, 5mM EDTA, 1% triton-100, 0.1mg/ml PMSF, 1µg/ml aprotinin, 1µg/ml leupeptin, 50 mM NaF, 40 mM β-glycerol phosphate, 10mM pyrophosphate, 10 mM Hepes pH 7.3, and 1mM sodium orthovanadate). After 30 minutes of incubation on ice, the lysate was centrifuged at 10,000 rpm for 5 minutes in an Eppendorf centrifuge at 4°C. Two sets of 100 µl of lysate were prepared and immunoprecipitated with the E7.5.2 antibody or rabbit anti-phosphothreonine antibody (available from Zymed, South San Francisco, CA) using protein A Sepharose beads. The protein A Sepharose-antibody-protein (in lysate)

complex were washed three times, mixed with 2x SDS sample buffer and boiled for 4 min. The proteins in samples were separated by electrophoresis on a 10% SDS-polyacrylamide gel. The gels were then fixed and dried before exposing to a film (film exposure and visualization was accomplished as described in Example 10). See Figure 83.

In another experiment, in an effort to reduce the autocrine production of EGF by cells, we used 70% confluent A431 cells were pre-incubated at a low concentration of fetal bovine serum (0.5%) overnight in 37°C. The cells were then treated with 16 nM EGF in the presence or absence of different concentrations of E7.6.3 MAb for 30 minutes at 37°C. After the 30-min incubation, the cells were washed three times with cold PBS and scraped into 0.5ml of lysis buffer (10mM Tris, 150mM NaCl, 5mM EDTA, 1% Triton-100, 0.1mg/ml PMSF, 1µg/ml aprotinin, 1µg/ml leupeptin and 1mM sodium orthovanadate). After 30 minutes of incubation on ice, the lysate was centrifuged at 10,000 rpm for 5 minutes in an Eppendorf centrifuge at 4°C. Two sets of 100 µl of lysate were prepared and immunoprecipitated with the E7.5.2 antibody or rabbit anti-phosphothreonine antibody (available from Zymed, South San Francisco, CA) using protein A Sepharose beads. The protein A Sepharose-antibody-protein (in lysate) complex were washed three times, mixed with 2x SDS sample buffer and boiled for 4 min. The proteins in samples were separated by electrophoresis on a 10% SDS-polyacrylamide gel. The gels were then fixed and dried before exposing to a film (film exposure and visualization was accomplished as described in Example 10). See Figure 84.

#### Vascular Endothelial Cell Growth Factor (VEGF) Production in Tumor Cells

In order to study the effects of anti-EGF-r antibodies on the upregulation of VEGF production in tumor cells, 70% confluent A431 cells in 24 well plates were washed with PBS and re-feed with fresh medium. The cells were treated with or without various antibodies as indicated and incubated for 48 or 96 hrs. At the end of culture, medium was collected and VEGF concentration in the medium was determined using

ELISA kit (VEGF ELISA kit purchased from R&D, Minneapolis, MN). E752 and K221 were used as negative controls. Four individual experiments are presented. See Figure 85.

#### VEGF Production in Endothelial Cells

In order to study the effects of anti-EGF-r antibodies on the upregulation of VEGF production in endothelial cells, 70% confluent ECV304 cells (ATCC: CRL-1998) in 24 well plates were washed with PBS and re-fed with fresh medium. The cells were treated with or without EGF in the presence or absence of various antibodies as indicated and incubated for 24 hrs. At the end of culture, medium was collected and VEGF concentration in the medium was determined using ELISA kit (VEGF ELISA kit purchased from R&D, Minneapolis, MN). K221 was used as negative controls. See Figure 86.

#### Discussion

The above experiments provide important additional information about antibodies in accordance with the invention. For example, in Figure 80 demonstrates that EGF-r is internalized after binding to either EGF (panel A) or the E7.6.3 antibody (panel B). Thereafter, a question arises is whether EGF-r is then degraded once internalized. Figure 81 demonstrates that E7.6.3 is not degraded (panel B) and that the degradation of EGF provides a positive control (panel A). With respect to the degradation of EGF-r, Figure 82 provides a series of immunoprecipitation blots that compare the effects of various antibodies on EGF-r degradation. As will be observed, when EGF binds to EGF-r, EGF-r degradation is induced. Panel A. Also, the 225 antibody induced EGF-r degradation. Treatment with either E7.6.3 or the non-specific K221 antibodies did not induce degradation of the receptor. In panel B, similar results are observed with the additional demonstration that the murine IgG1 did not induce degradation. Since the 225 antibody is a murine IgG1, the murine IgG1 acts as a negative control for 225 and indicates that the induction of degradation by 225 is specific. In panel C, EGF induced degradation of

the receptor is shown. The results demonstrate that the E7.6.3 antibody completely inhibit the effect of EGF induced EGF-r degradation. In contrast, the 225 antibody did not inhibit the EGF induced EGF-r degradation.

From the data related EGF-r tyrosine phosphorylation, discussed in Example 10, where both the E7.6.3 and 225 antibodies inhibited EGF-r tyrosine phosphorylation and the difference between E7.6.3 and 225 with respect to the effect on EGF-r degradation, we sought to determine if there were additional phosphorylation differences related to the receptor. Accordingly, an experiment was conducted to view threonine phosphorylation of EGF-r. In Figure 83, panel A shows immunoprecipitation of EGF-r by the E7.5.2 antibody, indicating that the quantity of EGF-r was the same. In panel B, shows immunoprecipitation by the rabbit anti-phosphothreonine antibody. As will be observed, significant threonine phosphorylation of the receptor is preserved by treatment with the E7.6.3 antibody. In contrast, a majority of the threonine phosphorylation is vitiated in treatment with the 225 antibody. An interesting additional band of threonine phosphorylation was seen at about 63 KD in the E7.6.3 treated cells.

Such additional band was further explored in connection with the results presented in Figure 84. In this experiment, in an effort to reduce the autocrine production of EGF by cells, we raised the cells with almost no FBS. In the control group, spontaneous threonine phosphorylation is seen in a band at about 63 KD. EGF dramatically reduced such phosphorylation. EGF in combination with several neutralizing anti-EGF-r antibodies, including E7.6.3, partially restored such phosphorylation.

We also studied the production of vascular endothelial cell growth factor (VEGF) in tumor (A431) cells. VEGF is an important modulator of growth of endothelial cells and a potent angiogenic factor. It is believed to be important to new blood vessel formation in tumors. E.g., Liu and Ellis *Pathobiology* 66:247-252 (1998). In Figure 85, the levels of VEGF production were examined and E7.6.3 significantly (>70%) inhibited VEGF production. In contrast, the 225 antibody inhibited VEGF production by much less than 50%, more accurately around 25%. The antibodies E752 and K221 were used as negative controls and they do not inhibit VEGF production.



In addition to the tumor cell work, we have completed preliminary experiments with respect to vascular endothelial cells. In addition to being recruited to sites of angiogenesis, such cells express EGF-r on their surfaces. Wilson and Lloyd *Invest. Opthamol. & Visual Science* 32:2747-2756 (1991). In Figure 86, based on the data from 24 hour incubation, it will be observed that when such endothelial cells are stimulated with EGF, the VEGF production is increased. However, treatment with the E763 antibody inhibits the VEGF production by at least 40%. The 225 antibody on the other hand inhibits VEGF production by significantly less (20%).

A table summarizing certain of the above demonstrated similarities and differences between the E763 antibody and the 225 antibody is provided below:

**TABLE II**

Characteristic	E7.6.3	225
Inhibition of Tyrosine Phosphorylation	+	+
Internalization of EGF-r	+	+
Inhibition of EGF-r Degradation		
EGF-r	+	-
EGF-induced	+	-
Thr-Phosphorylation		
EGF-r	+	+/-
63 KD Protein	+	-
Inhibition of VEGF Production		
Tumor Cells	>50%	<50%
Endothelial Cells	>40%	<40%

The data presented herein demonstrates the significant functional differences between the E763 and the 225 antibodies. In particular, the results with respect to VEGF production and the potential inhibition of endothelial cell proliferation within a tumor during the growth of a tumor lead naturally to a study of the downstream conditions of tumor growth. For example, it is expected that the E763 antibody may act to inhibit tumor cells and cells that depend on VEGF for their growth such as endothelial cells. In addition, other downstream molecules such as the VEGF receptor in endothelial cells and the activity of tPA in tumor cells and endothelial cells are expected to be affected by

antibodies in accordance with the invention. Accordingly, these results enable the selection of other antibodies based on the foregoing functional properties of E763 in addition to the structural properties that are also discussed herein. The data also enables the potential study of other therapeutic moieties in the treatment and eradication of tumors.

#### INCORPORATION BY REFERENCE

All references cited herein, including patents, patent applications, papers, text books, and the like, and the references cited therein, to the extent that they are not already, are hereby incorporated herein by reference in their entirety. In addition, the following references are also incorporated by reference herein in their entirety, including the references cited in such references:

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